05/16/2022

10:22

(FAX)

P.002/002



	93
AUTHORITY TO RELEASE MEDICAL	AND/OR HOSPITAL RECORDS
To Doctor/Hospital: Stevens Men	novial Hospital.
Address: 181 Main St.	Norway HE 04268
and the same of th	Date of Birth: 616 617
Address: 5 Reservoir 172.	·S. Paris, HE 04281
You are hereby authorized to furnish and release to my Street, Portland, Maine 04101, telephone num records he requests concerning findings, treatment rendenct disclose information to insurance adjusters or other prior authorizations are hereby canceled and I waive amforegoing authority shall continue in force until revoked authorization shall be considered as effective and valid a after the date of signing.	ber, (207) 871-0036, all information and cred and opinions as to my condition. Please do persons without written authority from me. All y privilege I have to my said Attorney. The by me in writing. A photocopy of this
***************************************	要要因此由为考虑的有效或是必须的现在分词
I respectfully request the following be provided:  Emire medical file  Itemized bill for rervices rendered to patient  Medical Reports  Complete Hospital Records  Emergency Room Records  Prognosis  Laboratory Reports  X-ray Reports  Reports of Surgical Procedure  Hespital Admission & Discharge (summary only)  Psychiatric/Psychological Reports/Evaluations  Treatment Plans  Consultations	
IDO IDO NOT authorize disclosure of idiagnosis of drug or alcoholabuse. If I authorize the relication be re-disclosed by a recipient without my specific X IDO IDO NOT authorize disclosure of information in the IDO X IDO NOT wish to review such informations and IDO IDO NOT authorize disclosure of information information or AIDS. I understand that individuals all encountered discrimination from others in the areas of a health insurance, and social and family relationships.	c consent.  Instion which refers to treatment or diagnosis of a cition prior to its release. Review must be remailton which refers to treatment or diagnosis of tout whom such disclosures have been made.
	Address: 18' Main Sd.  In Re: Patient: To ad Tiley  Address: Seeven Reason Reservoir Rd.  You are hereby authorized to furnish and release to my Street, Portland, Maine 04101, telephone num records he requests concerning findings, treatment rend not disclose information to insurance adjusters or other prior authorizations are hereby canceled and I waive amploregoing authority shall continue in force until rovoked authorization shall be considered as effective and valid after the date of signing.  **Treatment Elements**  I respectfully request the following be provided:  Entire medical file  Itemizad bill for cervices rendered to patient  Medical Reports  Complete Hospital Records  Emergency Room Records  Prognosis  Laboratory Reports  X-ray Reports  X-ray Reports  X-ray Reports  Treatment Plans  Consultations  **IDO** IDO NOT authorize disclosure of diagnosis of drug or alcohol abuse. If I authorize the religions to force or alcohol abuse. If I authorize the religions is of drug or alcohol abuse. If I authorize the religions is of drug or alcohol abuse. If I authorize the religions is of drug or alcohol abuse. If I authorize the religions of drug or alcohol abuse. If I authorize the religions is of drug or alcohol abuse. If I authorize the religions is of drug or alcohol abuse. If I authorize the religions is of drug or alcohol abuse. If I authorize the religions is of drug or alcohol abuse. If I authorize the religions of drug or alcohol abuse. If I authorize the religions of drug or alcohol actions disclosure of information in the religion of AIDS. I understand that individuals at an accountered discrimination from others in the areas of a health insurance, and social and family relationships.

# Case 1:23-cr-00038-TNM Document 31-5 Filed 03/09/23 Page 2 of 45

Maineldealth Shared Electronic Health Record

Tilley, Todd S. Sex: M Adm. 5/10/2022, D/C: 5/10/2022

## 05/10/2022 - SLP Treatment in WMHS Speech Therapy

Visit Information

Admission Information

Arrival Date/Time: Admission Type:

Elective

Admit Date/Time: Point of Origin:

05/10/2022 0849 Clinic/physician

IP Adm. Date/Time: Admit Category:

Referral

Secondary Service:

N/A

Means of Arrival: Transfer Source:

Primary Service: Service Area:

MAINEHEALTH

Unit:

WMHS Speech Therapy

Admit Provider:

Attending Provider:

System, Provider Not In

Referring Provider:

System, Provider

Not In

Discharge Information

Discharge Date/Time 05/10/2022 2359

**Discharge Disposition** Home Or Self Care

**Discharge Destination** None

Discharge Provider None

Unit WMHS Speech Therapy

Treatment Team

Provider Service System, Provider Not

Role Attending Provider

Specialty

From 02/24/22 1058 To

Medication List

**Medication List** 

This visit has been closed. A record of the med list at the time of the visit is not available.

**Clinical Notes** 

**Ancillary Note** 

Nalipinski, Paige M, CCC-SLP at 5/10/2022 0900

Stephens Memorial Hospital MaineHealth

Physical Rehabilitation

193 Main Street, Norway, ME 04268 Phone (207) 744-6160 Fax (207) 743-1577

Speech Pathology OUTPATIENT Daily Note 5/10/2022

Client:

Todd S. Tilley

Patient seen for individual treatment session.

Authorized Visits: 15 out of 20

End Date: 5/30/22

S: Todd arrived to today's appointment on time, unaccompanied. He reports that he feels more comfortable conversing in numerous settings to the point where he has gotten a job at a local country club where he will be working as a waiter 2 days/week.

O/A: Functional therapy was the focus today:

Treatment area(s):

Generated on 5/16/22 1:42 PM

Page 1

Case 1:23-cr-00038-TNM Document 31-5 Filed 03/09/23 Page 3 of 45

Main-Health Shared Electronic Health Record

Tilley, Todd S., Sex: M Adm: 5/10/2022, D/C: 5/10/2022

## 05/10/2022 - SLP Treatment in WMHS Speech Therapy (continued)

#### Clinical Notes (continued)

verbal expression, language organization, auditory comprehension, reading comprehension and written expression

## Short term objectives:

Goal 1: The patient will manipulate the communication environment by adhering to the following strategies:

- Controlling the Communication Environment- GOAL MET.
  - Reduce/eliminate distractions
  - o Watch the speaker
- Setting the stage for the interaction
  - Ask for the topic of the message so you can use context cues to help you
- Prompting the Speaker
- Signal when you have not understood-GOAL MET.

Goal 2: The patient will learn and apply strategies to facilitate comprehension in conversation.

- Patient will signal or tell partner when unfamiliar with a word, or unsure of its meaning. Partner will respond
  by rephrasing, repeating, or using an alternative way of communicating the concept. GOAL MET. Todd is
  comfortable asking conversational partner to repeat or clarify.
- Patient will ask for repetition or clarification, and repeat information back to partner to ensure accurate comprehension.GOAL ONGOING.
  - Auditory Comprehension-able to provide word matching definition read aloud to him with 95%
    accuracy. No pauses for word finding. Single error item was corrected when definition was repeated 1
    time.
  - Reading comprehension-able to sequence 7 steps to familiar tasks described in full sentences, with 100% accuracy
    - Read paragraphs aloud describing Norman Rockwell paintings without any aphasic errors and with excellent understanding of content.

Goal 3: The patient will learn and apply strategies to facilitate expression in conversation.

- Patient will respond to a comment or question in conversation by using any modality/strategy including the following:
  - Provide a response to expand nuanced vocabulary use. GOAL ONGOING. Todd provided 5
    descriptive words/painting when presented with Normal Rockwell paintings. He then developed
    appropriate descriptive sentences utilizing vocabulary words without error.

Write a word, short phrase, or sentence.**GOAL ONGOING.** Todd wrote 2 short concise sentences per 2 target words with 90% accuracy. Sentences were required to be quite different in content and pushed him to be not only creative but use language constructively. Made 1 error in suffix use (wrote having/have)

**Goal 4:** The patient will develop increased information processing skills. Patient will demonstrate understanding of schemas in the visually and verbally presented information as it relates to information processing with 90% accuracy. **GOAL INITIATED.** 

- Patient will determine factors that contribute to that schema and not any other schema with 90% accuracy.
   Accurate interpretation of subtleties of Norman Rockwell painting- 100%
- Patient will use this knowledge to identify details and to connect details together with 85% accuracy.
- Patient will follow complex directions involving more than 2-3 segments of information to be processed in a
  particular order of presentation on functional tasks with 90% accuracy.
- The patient will regulate input of information, necessary for adequate comprehension and processing of verbal and written stimuli, as evidenced by performance of the following skills with 90% accuracy;
  - \* comprehension of information at moderate levels of complexity and abstraction given repetition of information as needed
  - \* demonstrate use of repair strategies with a break down in communication interaction due to decreased comprehension of information in a variety of settings
  - \* development of strategies to cope with information overload

# 05/10/2022 - SLP Treatment in WMHS Speech Therapy (continued)

## Clinical Notes (continued)

Goal 5: The patient will demonstrate functional problem-solving strategies in his personal life and community environments. GOAL ONGOING.

- The patient will use language and cognition to solve safety and interpersonal problems by:
- identifying problematic situations, generating alternative solutions to problem situations, sequencing a plan for problem solving, considering possible outcomes for each solution, selecting and implementing the best solution, evaluating outcome of problem solving, resolving interpersonal conflicts by stating and explaining/describing differences of opinion.
- The patient will use a structured problem solving approach to assist him with determination of specific problem, goal, hypothetical solutions, sequences of steps to complete the problem, self-evaluation and monitoring of solution and procedures with independence, particularly during multi-component tasks. Discussed his new job (waiting tables at Country Club) and possible issues that might arise given his slowed processing.
- The patient will demonstrate use of a general problem solving strategy involving the following steps: Used example of issues with new job to use this strategy to solve problems that might arise.
  - 1. Identify problematic situations
  - 2. Generate alternative solutions to problem situations
  - 3. Consider possible outcomes of each solution
  - 4. Select and implement best solution
  - 5. Evaluate the steps during task completion as well as the outcome of problem solving to increase self-monitoring.

Home Program work completed: yes.

Completed worksheets targeting following written directions, word finding and deductive reasoning. Patient Education completed in the session:yes. Reviewed impact of decreased processing on new job Learner(s): Patient

Method: verbal explanation and demonstration

Response: Patient demonstrated understanding, though question his insight re: current level of deficit

P: Follow up plan:

Recommend continue treatment 1x/week for total of 20 sessions. Will determine if need to continue at that time. Increase target language and cognitive skills.

Homework assigned for auditory comprehension, word finding and written expression.

Next session: 5/24/22

Paige Nalipinski, MA, CCC, SLP Speech Pathology

Charge: Speech Therapy 92507

Time:55 minutes

Electronically signed by Nalipinski, Paige M, CCC-SLP at 05/11/22 0827

# Case 1:23-cr-00038-TNM Document 31-5 Filed 03/09/23 Page 5 of 45

MaincHealth Shared Electronic Health Record

Tilley, Todd S. Sex: M Adm: 5/3/2022, D/C: 5/3/2022

# 05/03/2022 - OT Treatment in WMHS Occupational Therapy

Visit Information

Admission Information

Arrival Date/Time: Admission Type:

Elective

Admit Date/Time: Point of Origin:

05/03/2022 0928 Clinic/physician

IP Adm. Date/Time: Admit Category:

Referral

Secondary Service:

N/A WMHS

Occupational

Therapy

Means of Arrival: Transfer Source:

Primary Service: Service Area:

MAINEHEALTH

Unit:

Trovato, Erika L, DO

Admit Provider:

Attending Provider:

Trovato, Erika L, DO Referring Provider:

Discharge Information

Discharge Date/Time 05/03/2022 2359

**Discharge Disposition** Home Or Self Care

Discharge Destination None

Discharge Provider None

Unit WMHS Occupational

Therapy

Treatment Team

Provider	Service	Role	Specialty	From	To
Trovato, Erika L, DO	_	Attending Provider	Physical Medicine and Rehab	05/03/22 0928	

### **Medication List**

#### **Medication List**

This report is for documentation purposes only. The patient should not follow medication instructions within. For accurate instructions regarding medications, the patient should instead consult their physician or after visit summary.

## Active at the End of Visit

## acetaminophen 325 MG Tab

Instructions: Take 3 Tablets (975 mg total) every 6 hours as needed by mouth for Pain or Fever

Authorized by: Callender, G Sean, MD

Ordered on: 1/15/2022 Quantity: 120 Tablet

Start date: 1/15/2022 Refill: No refills remaining

### carvedilol 3.125 MG Tab

Instructions: Take 1 Tablet (3.125 mg total) 2 times daily (with meals) by mouth

Authorized by: Callender, G Sean, MD

Ordered on: 1/15/2022 Quantity: 60 Tablet

Start date: 1/15/2022 Refill: No refills remaining

## lisinopril 20 MG Tab

Instructions: Take 1 Tablet (20 mg total) daily by mouth

Authorized by: Callender, G Sean, MD

Start date: 1/16/2022 Refill: No refills remaining Ordered on: 1/15/2022 Quantity: 30 Tablet

#### Stopped in Visit

None

#### **Clinical Notes**

#### **Ancillary Note**

Bennett, Jennifer W, OT at 5/3/2022 1030

# Case 1:23-cr-00038-TNM Document 31-5 Filed 03/09/23 Page 6 of 45

Mainel Health
Shared Electronic
Health Record

Tilley, Todd S.

Adm: 5/3/2022, D/C: 5/3/2022

Sex: M

05/03/2022 - OT Treatment in WMHS Occupational Therapy (continued)

Clinical Notes (continued)



Physical Rehabilitation 181 Main Street, Norway, ME 04268 phone (207) 744-6160 fax (207) 744-6529

# Occupational Therapy Outpatient Daily Note 5/3/2022

Client:

Todd S. Tilley

Diagnosis:

CVA/left temporal intraparenchymal hemorrhage with global aphasia and cognitive deficits

1/12/22

	05/03/22 1028
Visit Count	
Visit Count	2/20
Medical Status Updat	te
Primary Diagnosis / Treatment Diagnosis	CVA/left temporal intraparenchymal hemorrhage with global aphasia and cognitive deficits 1/12/22
Subjective/Patient Report	Some family stress over the weekend, and I am now back and forth from my own place to Grace's. I'm doing fine now. Got my meds renewed, so that's good. Had an incident with all the stress of more trouble putting words together, my head was buzzing, and some tingling in his hand. None of that any longer.
Activities of Daily Liv	ring
Therapeutic Interventions	Cognitive retraining with ADL's with focus today on sustained and divided attention.
	1. Cancellation task- only one item to cancel. Accurate with 105/105 items in 1:32. Two episodes of stopping task to talk but self cued himself to return to task and had kept track of where he was.
	2. Educated client in some compensatory strategies for attention deficits with info from the Brain Injury Workbook. He noted that he is doing some of these things-like trying to limit distractions and writing himself some notes- but he is not doing others- like limiting his time with a task to prevent fatigue, taking breaks, using environmental cues, and considering the best time of day mentally for him to tackle certain tasks.
	3. Calendar worksheet following 12 directions. He completed with no cueing needed, but he did make 2 mistakes that he realized himself and corrected. Discussed how he made the mistakes because he did not keep track of what he had completed by crossing off the numbers and also he had become distracted with conversation. He understood how he could improve a task like this in the future.
	4. More difficult double cancellation task with embedded words fitting into various categories. This was done towards the end of the session, and he admitted to some "mental fatigue". He needed 5 verbal directional cues to attend back to task

Tilley, Todd S.

Adm: 5/3/2022, D/C: 5/3/2022

# 05/03/2022 - OT Treatment in WMHS Occupational Therapy (continued)

Clinical Notes (continued)

	after starting a side conversation, and he also needed 2 cues to correctly follow the directions, as he had confused the steps.
Patient Education	
Patient Response to Education	verbalized agreement (will assess carryover)
Patient Instructed in	ADL Techniques;Compensatory strategies (for attention deficits)
OT Assessment	
Assessment	Less cueing initially to stay on task, but as session continued and he fatigued a bit, more cueing needed. Uses some good strategies such as talking out loud, physically holding his place on a worksheet when he looks away to talk about something else, and periodically looking back at the instructions to help stay on task. Needs to continue to work on rechecking his work, using more environmental cues to stay on task, and minimizing distractions.
Plan	Continue with OT plan
OT Session Time	
Timed Code Treatment Minutes	48
Total Treatment Time	48
Additional Comments	SCHT x 48

Jennifer Bennett MS OTR/L ME 567

Electronically signed by Bennett, Jennifer W, OT at 05/03/22 1535

# Case 1:23-cr-00038-TNM Document 31-5 Filed 03/09/23 Page 8 of 45

Mainel lealth Shared Electronic Health Record

Tilley, Todd S.

Adm: 5/3/2022, D/C: 5/3/2022

# 05/03/2022 - SLP Treatment in WMHS Speech Therapy

Visit Information

Admission Information

Arrival Date/Time: Admission Type:

Means of Arrival:

Elective

Admit Date/Time: Point of Origin:

05/03/2022 0927 Clinic/physician

IP Adm. Date/Time: Admit Category:

Referral

Secondary Service: Unit:

N/A

WMHS Speech

Therapy

Sex: M

System, Provider

Transfer Source: Admit Provider:

Attending Provider:

Primary Service:

Service Area:

System, Provider

Not In

MAINEHEALTH

Referring Provider:

Not In

Discharge Information

Discharge Date/Time 05/03/2022 0927

**Discharge Disposition** Home Or Self Care

Discharge Destination None

Discharge Provider

Unit WMHS Speech Therapy

**Treatment Team** 

 Provider	Service	Role	Specialty	From	To	==
System, Provider Not		Attending Provider	_	02/24/22 1058	_	-

#### Medication List

#### **Medication List**

This visit has been closed. A record of the med list at the time of the visit is not available.

#### **Clinical Notes**

**Ancillary Note** 

Nalipinski, Paige M, CCC-SLP at 5/3/2022 0930

Stephens Memorial Hospital MaineHealth

Physical Rehabilitation

193 Main Street, Norway, ME 04268 Phone (207) 744-6160

Fax (207) 743-1577

Speech Pathology OUTPATIENT Daily Note 5/3/2022

Client:

Todd S. Tilley



Patient seen for individual treatment session.

Authorized Visits: 14 out of 20

End Date: 5/30/22

S: Todd arrived to today's appointment on time, unaccompanied. He reported that he had a very stressful weekend with significant family issues during which his speech deteriorated drastically. He is questioning if he had a mini stroke and will be reaching out to his neurologist today to discuss. Discussed the fact that with increased stress, such as that he describes experiencing over the weekend, his language is quite vulnerable to significant degradation. It is important that he checks with his neurologist, but it also is important that he understands that minimizing stress by preparing for certain communication situations, will be a longstanding need for him.

Tilley, Todd S.

MRN E2955418, DOB: 016/1901, Sex: 1
Adm: 5/3/2022, D/C: 5/3/2022

# 05/03/2022 - SLP Treatment in WMHS Speech Therapy (continued)

#### Clinical Notes (continued)

O/A: Functional therapy was the focus today:

## Treatment area(s):

verbal expression, language organization, auditory comprehension, reading comprehension and written expression

## Short term objectives:

Goal 1: The patient will manipulate the communication environment by adhering to the following strategies:

- Controlling the Communication Environment- GOAL MET.
  - Reduce/eliminate distractions
  - o Watch the speaker
- Setting the stage for the interaction
  - Ask for the topic of the message so you can use context cues to help you
- · Prompting the Speaker
- Signal when you have not understood-GOAL MET.

Goal 2: The patient will learn and apply strategies to facilitate comprehension in conversation.

- Patient will signal or tell partner when unfamiliar with a word, or unsure of its meaning. Partner will respond
  by rephrasing, repeating, or using an alternative way of communicating the concept. GOAL MET. Todd is
  comfortable asking conversational partner to repeat or clarify.
- Patient will ask for repetition or clarification, and repeat information back to partner to ensure accurate comprehension.GOAL ONGOING.
  - Auditory Comprehension-patient was presented with a verbal word problems that were 2-3 sentences
    in length. He adequately understood and solved the word problems with 50% accuracy. He had no
    difficulty with the math aspect of the word problems, but did have difficulty with processing of numbers
    and functions. He questions the impact of the mask on his understanding, but this has not been a
    problem in the past when use of language has been more simple.
  - Reading comprehension-n/a

Goal 3: The patient will learn and apply strategies to facilitate expression in conversation.

- Patient will respond to a comment or question in conversation by using any modality/strategy including the following:
  - Provide a response to expand nuanced vocabulary use. GOAL ONGOING. Todd responded to "why" questions with complete and concise 1 sentence answers with 70% accuracy. Error items varied between grammatical errors and occasional difficulty with word finding.
  - Write a word, short phrase, or sentence.GOAL ONGOING. Todd wrote short concise sentences using to target words with 90% accuracy. In 1 sentence he left out needed conjunction.

Goal 4: The patient will develop increased information processing skills. Patient will demonstrate understanding of schemas in the visually and verbally presented information as it relates to information processing with 90% accuracy.

- Patient will determine factors that contribute to that schema and not any other schema with 90% accuracy.
- Patient will use this knowledge to identify details and to connect details together with 85% accuracy.
- Patient will follow complex directions involving more than 2-3 segments of information to be processed in a
  particular order of presentation on functional tasks with 90% accuracy.
- The patient will regulate input of information, necessary for adequate comprehension and processing of verbal and written stimuli, as evidenced by performance of the following skills with 90% accuracy:
  - \* comprehension of information at moderate levels of complexity and abstraction given repetition of information as needed
  - \* demonstrate use of repair strategies with a break down in communication interaction due to decreased comprehension of information in a variety of settings
  - \* development of strategies to cope with information overload

Goal 5: The patient will demonstrate functional problem-solving strategies in his personal life and community

Tilley, Todd S.

Adm: 5/3/2022, D/C: 5/3/2022

Sex: M

# 05/03/2022 - SLP Treatment in WMHS Speech Therapy (continued)

Clinical Notes (continued)

### environments. GOAL ONGOING.

- The patient will use language and cognition to solve safety and interpersonal problems by: identifying problematic situations, generating alternative solutions to problem situations, sequencing a plan for problem solving, considering possible outcomes for each solution, selecting and implementing the best solution, evaluating outcome of problem solving, resolving interpersonal conflicts by stating and explaining/describing differences of opinion. We discussed a challenging situation he encountered over the weekend and ways he could approach a similar situation in the future to reduce stress load.
- The patient will use a structured problem solving approach to assist him with determination of specific problem, goal, hypothetical solutions, sequences of steps to complete the problem, self-evaluation and monitoring of solution and procedures with independence, particularly during multi-component tasks.
- The patient will demonstrate use of a general problem solving strategy involving the following steps:
  - 1. Identify problematic situations
  - 2. Generate alternative solutions to problem situations
  - 3. Consider possible outcomes of each solution
  - 4. Select and implement best solution
  - 5. Evaluate the steps during task completion as well as the outcome of problem solving to increase self-monitoring.

Home Program work completed: yes.

Completed worksheets targeting following written directions, word finding and deductive reasoning.

Patient Education completed in the session:yes. Reviewed impact of stress on his fluency. Learner(s): Patient

**Method:** verbal explanation and demonstration **Response:** Patient demonstrated understanding

## P: Follow up plan:

Recommend continue treatment 1x/week for total of 20 sessions. Will determine if need to continue at that time. Increase target language and cognitive skills.

Homework assigned for auditory comprehension, word finding and written expression.

Next session: 5/10/22

Paige Nalipinski, MA, CCC, SLP Speech Pathology

Charge: Speech Therapy 92507

Time:55 minutes

Electronically signed by Nalipinski, Paige M, CCC-SLP at 05/03/22 1047

# Case 1:23-cr-00038-TNM Document 31-5 Filed 03/09/23 Page 11 of 45

MoieHealth
Shared Electronic
Health Record

Tilley, Todd S.

Adm: 4/26/2022, D/C: 4/26/2022

# 04/26/2022 - SLP Treatment in WMHS Speech Therapy

Visit Information

Admission Information

Arrival Date/Time: Admission Type:

Elective

Admit Date/Time: Point of Origin:

04/26/2022 1036 Clinic/physician Referral IP Adm. Date/Time: Admit Category:

Referring Provider:

Means of Arrival:

Transfer Source:

Primary Service: Service Area:

MAINEHEALTH

Secondary Service: Unit:

N/A WMHS Speech

Admit Provider:

Attending Provider:

System, Provider

Oi lit.

Therapy System, Provider

Not In

Discharge Information

Discharge Date/Time 04/26/2022 2359 Discharge Disposition Home Or Self Care

Discharge Destination
None

Discharge Provider
None

Unit
WMHS Speech Therapy

Treatment Team

Provider Service
System, Provider Not —

Role
Attending Provider

Specialty

Not In

From 02/24/22 1058 Τo

Medication List

**Medication List** 

This visit has been closed. A record of the med list at the time of the visit is not available,

**Clinical Notes** 

**Ancillary Note** 

Nalipinski, Paige M, CCC-SLP at 4/26/2022 1045

Stephens Memorial Hospital

Physical Rehabilitation

193 Main Street, Norway, ME 04268 Phone (207) 744-6160

Fax (207) 743-1577

Speech Pathology OUTPATIENT Daily Note 4/26/2022

Client:

Todd S. Tilley



Patient seen for individual treatment session.

Authorized Visits: 13 out of 20

End Date: 5/30/22

S: Todd arrived to today's appointment on time, unaccompanied. He worked with OT just prior to our session and reported he felt some fatigue as a result. Was very [leased that he will be seen by Jennifer as well. Missed last session due to bad weather.

O/A: Functional therapy was the focus today:

Treatment area(s):

Generated on 5/16/22 1:42 PM

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MaineHealth Shared Electronic Health Record

Tilley, Todd S.

MRN: Sex: Adm: 4/26/2022, D/C: 4/26/2022

## 04/26/2022 - SLP Treatment in WMHS Speech Therapy (continued)

Clinical Notes (continued)

verbal expression, language organization, auditory comprehension, reading comprehension and written expression

## Short term objectives:

Goal 1: The patient will manipulate the communication environment by adhering to the following strategies:

- Controlling the Communication Environment- GOAL MET.
  - o Reduce/eliminate distractions
  - Watch the speaker
- · Setting the stage for the interaction
  - Ask for the topic of the message so you can use context cues to help you
- · Prompting the Speaker
- Signal when you have not understood-GOAL MET.

Goal 2: The patient will learn and apply strategies to facilitate comprehension in conversation.

- Patient will signal or tell partner when unfamiliar with a word, or unsure of its meaning. Partner will respond
  by rephrasing, repeating, or using an alternative way of communicating the concept. GOAL MET. Todd is
  comfortable asking conversational partner to repeat or clarify.
- Patient will ask for repetition or clarification, and repeat information back to partner to ensure accurate comprehension.GOAL ONGOING.
  - Auditory Comprehension- Misunderstood some instructions for tasks given in session (Do the first 2
    items vs. Provide 2 responses to questions.). If instructions feel overwhelming to him, he needs to ask
    for clarification.
  - Reading comprehension-used written clues to provide object names in a reading comp responsive naming task (This kind of store sells clothing, dishes, linens, etc)- 80% accuracy. For missed items practiced strategies for helping conversational partner understand desired message vs. focusing on target word.

Goal 3: The patient will learn and apply strategies to facilitate expression in conversation.

- Patient will respond to a comment or question in conversation by using any modality/strategy including the following:
  - Provide a response to expand nuanced vocabulary use. GOAL ONGOING. Todd was read common expressions and accurately interpreted them with 90% accuracy with fluent, well organized responses.
  - Verbal expression- Listed 5 items per category with 85% accuracy. Trouble with Fairy Tale titles, but used opportunity to reinforce strategy of him describing his desired response and conversational partner providing specific word.
  - o Write a word, short phrase, or sentence. GOAL ONGOING.

**Goal 4:** The patient will develop increased information processing skills. Patient will demonstrate understanding of schemas in the visually and verbally presented information as it relates to information processing with 90% accuracy.

- Patient will determine factors that contribute to that schema and not any other schema with 90% accuracy.
- Patient will use this knowledge to identify details and to connect details together with 85% accuracy.
- Patient will follow complex directions involving more than 2-3 segments of information to be processed in a particular order of presentation on functional tasks with 90% accuracy.
- The patient will regulate input of information, necessary for adequate comprehension and processing of verbal and written stimuli, as evidenced by performance of the following skills with 90% accuracy:
  - \* comprehension of information at moderate levels of complexity and abstraction given repetition of information as needed
  - \* demonstrate use of repair strategies with a break down in communication interaction due to decreased comprehension of information in a variety of settings
  - \* development of strategies to cope with information overload

**Goal 5:** The patient will demonstrate functional problem-solving strategies in his personal life and community environments.**GOAL INITIATED.** 

• The patient will use language and cognition to solve safety and interpersonal problems by:

# Case 1:23-cr-00038-TNM Document 31-5 Filed 03/09/23 Page 13 of 45

MineHealth Shared Electronic Health Record

Tilley, Todd S. Sex: M Adm: 4/26/2022, D/C: 4/26/2022

# 04/26/2022 - SLP Treatment in WMHS Speech Therapy (continued)

## Clinical Notes (continued)

identifying problematic situations, generating alternative solutions to problem situations, sequencing a plan for problem solving, considering possible outcomes for each solution, selecting and implementing the best solution, evaluating outcome of problem solving, resolving interpersonal conflicts by stating and explaining/describing differences of opinion.

- The patient will use a structured problem solving approach to assist him with determination of specific problem, goal, hypothetical solutions, sequences of steps to complete the problem, self-evaluation and monitoring of solution and procedures with independence, particularly during multi-component tasks.
- The patient will demonstrate use of a general problem solving strategy involving the following steps:
  - 1. Identify problematic situations
  - 2. Generate alternative solutions to problem situations
  - 3. Consider possible outcomes of each solution
  - 4. Select and implement best solution
  - 5. Evaluate the steps during task completion as well as the outcome of problem solving to increase self-monitoring.

# Home Program work completed: yes.

Completed worksheets targeting following written directions, word finding and deductive reasoning.

Patient Education completed in the session:yes. Reviewed strategies of partner assisted communication where he allows conversational partner to provide target word after he provides description in case where he cannot come up with the word himself.

Learner(s): Patient

Method: verbal explanation and demonstration

Response: Patient demonstrated understanding, though will need continued practice to master.

## P: Follow up plan:

Recommend continue treatment 1x/week for total of 20 sessions. Will determine if need to continue at that time. Increase target language and cognitive skills.

Homework assigned for auditory comprehension, word finding and written expression.

Next session: 5/3/22

Paige Nalipinski, MA, CCC, SLP Speech Pathology

Charge: Speech Therapy 92507

Time:55 minutes

Electronically signed by Nalipinski, Paige M, CCC-SLP at 04/26/22 1148

# Case 1:23-cr-00038-TNM Document 31-5 Filed 03/09/23 Page 14 of 45

Mainel lealth Shared Electronic Health Record

Tilley, Todd S.

Sex: M Adm: 4/26/2022, D/C: 4/26/2022

## 04/26/2022 - OT Initial Evaluation in WMHS Occupational Therapy

Visit Information

Admission Information

Arrival Date/Time: Admission Type:

Means of Arrival:

Transfer Source:

Elective

Admit Date/Time: Point of Origin:

04/26/2022 0943 Clinic/physician

IP Adm. Date/Time: Admit Category:

Referral

Secondary Service:

**WMHS** 

Primary Service: Service Area:

MAINEHEALTH

Unit:

Occupational

Admit Provider:

Attending Provider:

Trovato, Erika L, DO Referring Provider:

Therapy Trovato, Erika L, DO

Discharge Information

Discharge Date/Time 04/26/2022 1035

Discharge Disposition Home Or Self Care

**Discharge Destination** 

Discharge Provider

WMHS Occupational

Therapy

Treatment Team

Provider	Service	Role	Specialty	From	To	
Trovato, Erika L, DO		Attending Provider	Physical Medicine and Rehab	04/26/22 0943	1	

#### **Medication List**

#### **Medication List**

This report is for documentation purposes only. The patient should not follow medication instructions within. For accurate instructions regarding medications, the patient should instead consult their physician or after visit summary.

#### Active at the End of Visit

#### acetaminophen 325 MG Tab

Instructions: Take 3 Tablets (975 mg total) every 6 hours as needed by mouth for Pain or Fever

Authorized by: Callender, G Sean, MD

Ordered on: 1/15/2022 Quantity: 120 Tablet

Start date: 1/15/2022 Refill: No refills remaining

## carvedilol 3.125 MG Tab

Instructions: Take 1 Tablet (3.125 mg total) 2 times daily (with meals) by mouth

Authorized by: Callender, G Sean, MD

Ordered on: 1/15/2022

Start date: 1/15/2022 Refill: No refills remaining Quantity: 60 Tablet

## lisinopril 20 MG Tab

Instructions: Take 1 Tablet (20 mg total) daily by mouth

Authorized by: Callender, G Sean, MD

Start date: 1/16/2022

Refili: No refills remaining

Ordered on: 1/15/2022

Quantity: 30 Tablet

#### Stopped in Visit

None

## Clinical Notes

#### **Ancillary Note**

Bennett, Jennifer W, OT at 4/26/2022 0945

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Maine Health Shared Electronic Health Record

Tilley, Todd S.

Adm: 4/26/2022, D/C: 4/26/2022

Sex: M

# 04/26/2022 - OT Initial Evaluation in WMHS Occupational Therapy (continued)

Clinical Notes (continued)



Physical Rehabilitation 181 Main Street, Norway, ME 04268 phone (207) 744-6160 fax (207) 744-6529

# Occupational Therapy Outpatient Evaluation 4/26/2022

Client:

Todd S. Tilley

Referred by:

Erika L Trovato, DO

Diagnosis: 1/12/22

CVA/left temporal intraparenchymal hemorrhage with global aphasia and cognitive deficits

	04/26/22 0950
Visit Count	
Visit Count	1/20
General Information	
Document Type	Initial Evaluation
Onset date	01/12/22
Reason for Referral (OT/PT))	Impaired cognition;Impaired ADL/IADL
History of Present Illness	CVA with global aphasia 1/12/22. After acute stay, went to NJ with daughter, Kristin who is an RN, to a rehab facility eventually- Burke Rehab in White Plains, NY. Then returned to ME in February 2022 and lived with friends initially. His daughter, Grace, bought a home in ME and Todd now lives with her in the downstairs. He has been receiving outpatient speech therapy since moving to ME in February, addressing his aphasia as well as some cognitive deficits. SLP felt Todd was ready to address more functional, higher level cognitive skills as well and recommended OT.
Pertinent Past Medical History	HTN
Primary Diagnosis / Treatment Diagnosis	CVA/left temporal intraparenchymal hemorrhage with global aphasia and cognitive deficits 1/12/22
Referring Physician	Erika L Trovato, DO from Burke Rehabilitation in White Plains, NY
Additional Comments	Does not yet have a PCP in the area but says he is on a list
Living Arrangements	
Living status	The patient lives with
Patient lives with	daughter (and family)
Person(s) living with the patient	works outside the home
	2 story house
	No accessibility issues. His area is the first floor, which has a bedroom and bathroom,

## Case 1:23-cr-00038-TNM Document 31-5 Filed 03/09/23 Page 16 of 45

MaineHealth
Shared Electronic
Health Record

Tilley, Todd S.

Adm: 4/26/2022, D/C: 4/26/2022

# 04/26/2022 - OT Initial Evaluation in WMHS Occupational Therapy (continued)

Clinical Notes (continued) kitchen and living room. He says he uses the upstairs as well with Grace and her family. Prior Level of Function Level of Independence lindependent prior to admission Reported by patient Dominant Hand right History of Falls No evel of Activity active Cognition intact Prior to his IPH/CVA, he had no issues with self care, IADL's, or mobility. He lived independently and worked. He said in the distant past he was a medical courier and Additional Comments did alot of multitasking. He has also been a carpenter. Just prior to his medical event. he was working security, doing flag details along construction sites. Current Level of Function He now indicates that his language has improved much since February. He can drive locally without any problems. He says he tires quickly and multistep tasks take him a Current Level of long time. He notes difficulty with decision making, organizing his day and complex Function tasks, and dealing with interruptions. He says his memory is OK but not when he has several things to address. Pain 1 \*\*Patient Currently in Denies Pain Hearing Hearing Assessment WNL Communication WFL;impaired thought organization;word finding difficulty Expressive language (But vast improvement since 1/12/22; working w/SLP) impaired;WFL Receptive language (does ask for some clarification) Range of Motion/Strength RUE Assessment WNL LUE Assessment WNL Special Tests

Current Vision Wears glasses
Additional Comments No other deficits vs acuity noted with testing

Cognit	Cognition			
Overall	Cognitive			
Status				

Level of

Functional Assessment

Impaired

Alert

Consciousness
Attention Concentration Impaired

difficulty dividing attention; difficulty sustaining attention; minimal cues to redirect; easily distracted

Attention Concentration

Tilley, Todd S., Dob. 6,000, Sex: M. Adm: 4/26/2022, D/C: 4/26/2022

# 04/26/2022 - OT Initial Evaluation in WMHS Occupational Therapy (continued)

## Clinical Notes (continued)

Cillical Notes (continued)	
Orientation	Person, place, time, situation
Follows One Step	
commands	WNL
Follows Multi Step	WFL
Commands	(occ repetition if attention decreased)
Short Term Memory	Intact
	(unless unable to attend)
Long Term Memory	Intact
Sequencing	(5 and 9 step sequencing story pictures- one cue only with the 9 step sequence.
Organization	However, he did need redirecting to task, as he began unrelated conversation in
Problem Coluin	inidate of task.)
Problem Solving	ID Problems;ID Solutions
ID problems	Minimal assist patient 75%
ID Solutions	Minimal assist patient 75%
Calculations	WNL
Personal	(single and double digit; mental manipulation as well)
Safety/Judgement/Insig	NA/EI
ht	
Decision Making	moderate assist 50-74%
Awareness of errors	
- marchices of cirors	Decreased awareness of errors made- did not double check his work
Additional Comments	Able to count change correctly. Could sequence story cards but needed redirection
	back to task when distracted. Tends to perseverate on some conversation topics and then needs cueing to direct attention back to task at hand.
Patient Education	I Danie attended to task at Hallu,
Learning Barriers	cognitive status
Plan to address	Instructions verbalized and confirmed by patient;Instructions given in writing to
barriers	patient; Repetition of instructions
Patient Response to	
Education	demonstrated comprehension
Patient Instructed in	Rehab Plan of Care
Coordination of Care	
Collaboration with	SLP
Regarding	treatment plan
Therapy Interventions	
Interventions	ADL;Cognition
Cognition	cognition high level activities
Activites of Daily Living	
(ADL)	Activities of Daily Living training;Community Re-entry
OT Frequency	1 time per week
Duration	20 visits
Patient/Family Agreed	Von
with Plan	Yes
OT Assessment	
Assessment	60yo male who was independent and active until an IPH/CVA on 1/12/22 with
	Immediate effect of global aphasia along with cognitive deficits. He has progressed
	well with acute rehabilitation and then outpatient speech therapy. Now referred to OT

Case 1:23-cr-00038-TNM Document 31-5 Filed 03/09/23 Page 18 of 45

Main-Health
Shared Electronic
Health Record

Tilley, Todd S.

Adm: 4/26/2022, D/C: 4/26/2022

# 04/26/2022 - OT Initial Evaluation in WMHS Occupational Therapy (continued)

Clinical Notes (continued)	
	and presents with deficits primarily with high level organizational and problem solving and divided attention. Deficits are affecting his daily functioning (i.e. meal prep, managing daily schedule, following directions/recipes, multitasking, bill paying). Recommend OT to address deficits and also learn some compensatory strategies.
Plan	OT for cognitive retraining in conjunction with ADL's
Rehabilitation Potenti	al
Rehabilitation Potential	Good to achieve stated goals
OT Session Time	
Timed Code Treatment Minutes	0
Total Treatment Time	60
Additional Comments	F-I

## OT Goals:

Short-term Goals within 10 visits:

- 1. Able to begin task, attend to one interruption completely and return to first task completion 3/3 attempts.
- 2. Able to follow two 4-5 step recipes at a time organized within a 30 min span with min cueing.
- 3. Able to correctly record debits and credits in a checkbook with min cues
- 4. Able to utilize reminders function on his mobile phone with min assist.
- 5. Able to independently express at least 5 strategies to use to improve attention to task/concentration.

## Long-term Goals within 20 visits:

- 1. Independently completing light meal prep of two or more items/meal and able to preplan for purchasing ingredients as well.
- 2. Able to create a budget, record income/expenses and finalize transactions for the month with min assist
- 3. Divided attention improved such that he can attend to address at least 2 interruptions during a task and still complete the initial task independently.
- 4. Independently using reminders function of his mobile phone and other compensatory strategies needed for cognitive tasks.
- 5. Scoring in normal range on all sections of the Cognitive Assessment of Minnesota.

Jennifer Bennett MS OTR/L ME 567

Justification for Evaluation Code Complexity is as follows:

Patient presents with nd OT reviewed the following pertinent Functional and Medical History areas which affect the OT plan of care:

Occupational Profile: age, reason for referral, presenting problem (s), problems and concerns about function, patient goals, patient interests, values, and/or needs, past/current experience

Medical History: primary diagnosis/orders, physician/provider notes from current admission, patient report, ancillary notes (therapy, respiratory), medications, rehabilitation history, prior level of function Pertinent comorbidities:HTN.

Above reflects a expanded history review.

Assessment of the patient revealed structural and/or performance deficits in the following areas:

Physical body structures: structures of the nervous system

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MaineHealth Shared Electronic Health Record

Tilley, Todd S.

RN E2855416, DOB 6/6/1985 Sex: M

Adm: 4/26/2022, D/C: 4/26/2022

# 04/26/2022 - OT Initial Evaluation in WMHS Occupational Therapy (continued)

## Clinical Notes (continued)

Physical performance deficits: endurance

Cognitive: attention, problem solving, sequencing, memory, organization and higher level cognition

Psychosocial: use of coping strategies and/or environmental adaptations

Occupational performance deficits: IADL's, Vocational and Avocational

Above reflects 5 or more performance deficits.

## Clinical Decision Making

Analysis was conducted from a detailed (moderate complexity) evaluation.

<u>Treatment options</u> were several (moderate complexity)

Comorbidities do not (low complexity) affect/impact performance.

Modification of tasks/assistance (physical/verbal) needed to complete evaluation: moderate (moderate complexity)

Above reflects clinical decision making of Moderate complexity.

All of the above reflects a patient and OT evaluation of Moderate complexity.

Physician Signature:	
Date:	
I certify the need for these services furnished under this plan of treatment and whi under my care.	le

Electronically signed by Bennett, Jennifer W, OT at 04/26/22 1623

# Case 1:23-cr-00038-TNM Document 31-5 Filed 03/09/23 Page 20 of 45

MaineHealth Shared Electronic Health Record

Tilley, Todd S.

Sex: M

# Adm: 4/12/2022, D/C: 4/12/2022 04/12/2022 - OT Initial Evaluation in WMHS Occupational Therapy

### Visit Information

Admission Information

Arrival Date/Time:

Means of Arrival:

Transfer Source:

Admission Type: Elective Admit Date/Time: Point of Origin:

04/12/2022 0932 Clinic/physician

Referral

IP Adm. Date/Time:

Admit Category:

Primary Service:

Service Area:

MAINEHEALTH

Secondary Service: Unit:

N/A **WMHS** 

Occupational

Therapy

Admit Provider:

Attending Provider:

Trovato, Erika L, DO Referring Provider:

Trovato, Erika L, DO

Discharge Information

Discharge Date/Time 04/12/2022 2359

**Discharge Disposition** Home Or Self Care

Discharge Destination

Discharge Provider None

Unit WMHS Occupational

Therapy

**Treatment Team** 

Provider	Service	Role	Specialty	From	To
Trovato, Erika L, DO		Attending Provider	Physical Medicine and Rehab	04/12/22 0932	- Annual Control of the Control of t

#### **Medication List**

#### **Medication List**

This report is for documentation purposes only. The patient should not follow medication instructions within. For accurate instructions regarding medications, the patient should instead consult their physician or after visit summary.

### Active at the End of Visit

#### acetaminophen 325 MG Tab

Instructions: Take 3 Tablets (975 mg total) every 6 hours as needed by mouth for Pain or Fever

Authorized by: Callender, G Sean, MD

Ordered on: 1/15/2022 Quantity: 120 Tablet

Start date: 1/15/2022 Refill: No refills remaining

carvedilol 3.125 MG Tab

Instructions: Take 1 Tablet (3.125 mg total) 2 times daily (with meals) by mouth

Authorized by: Callender, G Sean, MD

Ordered on: 1/15/2022

Start date: 1/15/2022 Quantity: 60 Tablet Refill: No refills remaining

## lisinopril 20 MG Tab

Instructions: Take 1 Tablet (20 mg total) daily by mouth

Authorized by: Callender, G Sean, MD

Start date: 1/16/2022 Refill: No refills remaining Ordered on: 1/15/2022 Quantity: 30 Tablet

## Stopped in Visit

None

#### Clinical Notes

## **Ancillary Note**

Brown, Oneil W, OT at 4/12/2022 1100

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MaineHealth Shared Electronic Health Record

Tilley, Todd S.

Sex: M

Adm: 4/12/2022, D/C: 4/12/2022 04/12/2022 - OT Initial Evaluation in WMHS Occupational Therapy (continued)

Clinical Notes (continued)

Pt was scheduled for an OT evaluation today, the referral was general and had no specific diagnosis/deficits, as I interviewed Todd is was determined that he was referred for cognitive issues and not physical upper extremity deficits. Pt was rescheduled with Jen Bennett OT to assess cognitive issues and determine if require any OT treatment or if he will have these issue addressed by speech therapy.

O. W. Brown MOTR/L

Electronically signed by Brown, Oneil W, OT at 04/13/22 0939

# Case 1:23-cr-00038-TNM Document 31-5 Filed 03/09/23 Page 22 of 45

Moinel Tealth
Shared Electronic
Health Record

Tilley, Todd S

Adm: 4/12/2022, D/C: 4/12/2022

Sex: M

# 04/12/2022 - SLP Treatment in WMHS Speech Therapy

#### Visit Information

Admission Information

Arrival Date/Time: Admission Type:

Elective

Admit Date/Time: Point of Origin:

04/12/2022 0925 Clinic/physician

IP Adm. Date/Time: Admit Category:

Means of Arrival:

Transfer Source:

Primary Service: Service Area:

MAINEHEALTH

Referral

Not In

Secondary Service: Unit:

N/A WMHS Speech

Admit Provider:

Attending Provider:

System, Provider

Referring Provider:

Therapy System, Provider

Not In

Discharge Information

Discharge Date/Time 04/12/2022 0931 Discharge Disposition Home Or Self Care Discharge Destination

Discharge Provider
None

Unit
WMHS Speech Therapy

**Treatment Team** 

Provider	Service	Role	Specialty	From	To	
System, Provider I	Not —	Attending Provider		02/24/22 1058		

## **Medication List**

#### **Medication List**

This visit has been closed. A record of the med list at the time of the visit is not available.

### Clinical Notes

**Ancillary Note** 

Nalipinski, Paige M, CCC-SLP at 4/12/2022 0930

Stephens Memorial Hospital

Physical Rehabilitation

193 Main Street, Norway, ME 04268 Phone (207) 744-6160 Fax (207) 743-1577

# Speech Pathology OUTPATIENT Daily Note 4/12/2022

Client:

Todd S. Tilley

(SIGMOGI)

MaineHealth

Patient seen for individual treatment session.

Authorized Visits: 12 out of 20

End Date: 5/30/22

S: Todd arrived to today's appointment on time, unaccompanied. He reported having a headache for several days. Will be calling his neurologists today to discuss.

O/A: Functional therapy was the focus today:

## Treatment area(s):

verbal expression, language organization, auditory comprehension, reading comprehension and written expression

# 04/12/2022 - SLP Treatment in WMHS Speech Therapy (continued)

Clinical Notes (continued)

## Short term objectives:

Goal 1: The patient will manipulate the communication environment by adhering to the following strategies:

- Controlling the Communication Environment- GOAL MET.
  - o Reduce/eliminate distractions
  - Watch the speaker
- Setting the stage for the interaction
  - o Ask for the topic of the message so you can use context cues to help you
- · Prompting the Speaker
- Signal when you have not understood-GOAL MET.

Goal 2: The patient will learn and apply strategies to facilitate comprehension in conversation.

- Patient will signal or tell partner when unfamiliar with a word, or unsure of its meaning. Partner will respond
  by rephrasing, repeating, or using an alternative way of communicating the concept. GOAL MET. Todd is
  comfortable asking conversational partner to repeat or clarify.
  - Patient will ask for repetition or clarification, and repeat information back to partner to ensure accurate comprehension.GOAL ONGOING.
  - Auditory Comprehension- able to determine if 2 sentences read to him were saying the same thing or something different to work on complex AC- 80 % correct (8/10).
  - Able to discriminate if sentences were stating the same or different concepts with 80% accuracy.
  - Reading comprehension-used written clues to complete deductive reasoning puzzle with 70% accuracy. Often had to re-read material to get all of the information included as often 2 facts embedded. This task did reveal some issues with organization and reasoning (will add cognitive goals).

Goal 3: The patient will learn and apply strategies to facilitate expression in conversation.

- Patient will respond to a comment or question in conversation by using any modality/strategy including the following:
  - o Provide a response to expand nuanced vocabulary use. GOAL ONGOING.
  - Verbal expression- Named whole based on a part with 80% accuracy (27/30). Errors were semantic
    paraphasias (cookie tin/cookie sheet and hole digger/post digger). Increasingly aware of mistakes, but
    trouble with self correction.

Write a word, short phrase, or sentence. GOAL ONGOING.

Goal 4: The patient will develop increased information processing skills. Patient will demonstrate understanding of schemas in the visually and verbally presented information as it relates to information processing with 90% accuracy.

- Patient will determine factors that contribute to that schema and not any other schema with 90% accuracy.
- Patient will use this knowledge to identify details and to connect details together with 85% accuracy.
- Patient will follow complex directions involving more than 2-3 segments of information to be processed in a particular order of presentation on functional tasks with 90% accuracy.
- The patient will regulate input of information, necessary for adequate comprehension and processing of verbal and written stimuli, as evidenced by performance of the following skills with 90% accuracy:
  - \* comprehension of information at moderate levels of complexity and abstraction given repetition of information as needed
  - \* demonstrate use of repair strategies with a break down in communication interaction due to decreased comprehension of information in a variety of settings
  - \* development of strategies to cope with information overload

Goal 5: The patient will demonstrate functional problem-solving strategies in his personal life and community environments. GOAL INITIATED. Introduced concept of scripting to increase success from both a language and cognitive perspective, of phone calls made to service providers, etc.

Tilley, Todd S.

Adm: 4/12/2022, D/C: 4/12/2022

## 04/12/2022 - SLP Treatment in WMHS Speech Therapy (continued)

## Clinical Notes (continued)

- The patient will use language and cognition to solve safety and interpersonal problems by:
  identifying problematic situations, generating alternative solutions to problem situations, sequencing a
  plan for problem solving, considering possible outcomes for each solution, selecting and implementing the
  best solution, evaluating outcome of problem solving, resolving interpersonal conflicts by stating and
  explaining/describing differences of opinion.
- The patient will use a structured problem solving approach to assist him with determination of specific problem, goal, hypothetical solutions, sequences of steps to complete the problem, self-evaluation and monitoring of solution and procedures with independence, particularly during multi-component tasks.
- The patient will demonstrate use of a general problem solving strategy involving the following steps:
  - 1. Identify problematic situations
  - 2. Generate alternative solutions to problem situations
  - 3. Consider possible outcomes of each solution
  - 4. Select and implement best solution
  - 5. Evaluate the steps during task completion as well as the outcome of problem solving to increase self-monitoring.

## Home Program work completed: yes.

Completed worksheets targeting following written directions and word finding.

Patient Education completed in the session:yes. Reviewed strategies of scripting (see above) as he preps to call MD this p.m.

Learner(s): Patient

Method: verbal explanation and demonstration

Response: Patient demonstrated understanding, though will need continued practice to master.

#### P: Follow up plan:

Recommend continue treatment 1x/week for total of 20 sessions. Will determine if need to continue at that time. Increase target language and cognitive skills.

Homework assigned for auditory comprehension, word finding and written expression.

Next session: 4/19/22

Paige Nalipinski, MA, CCC, SLP Speech Pathology

Charge: Speech Therapy 92507

Time:55 minutes

Electronically signed by Nalipinski, Paige M, CCC-SLP at 04/12/22 1155

# Case 1:23-cr-00038-TNM Document 31-5 Filed 03/09/23 Page 25 of 45

MaineHealth Shared Electronic Health Record

Tilley, Todd S.

Adm: 4/5/2022, D/C: 4/5/2022

# 04/05/2022 - SLP Treatment in WMHS Speech Therapy

Visit Information

Admission Information

Arrival Date/Time:

Admission Type: Elective Admit Date/Time: Point of Origin:

04/05/2022 1044 Clinic/physician

IP Adm. Date/Time: Admit Category:

Referral

Not In

Secondary Service:

N/A

Sex: M

Means of Arrival: Transfer Source:

Primary Service: Service Area:

MAINEHEALTH

WMHS Speech Therapy

Admit Provider:

Attending Provider:

System, Provider

Referring Provider:

System, Provider

Not In

Discharge Information

Discharge Date/Time 04/05/2022 2359

**Discharge Disposition** Home Or Self Care

**Discharge Destination** 

Discharge Provider None

Unit WMHS Speech Therapy

Treatment Team

Provider Service Role Specialty From To System, Provider Not Attending Provider 02/24/22 1058

**Medication List** 

**Medication List** 

This visit has been closed. A record of the med list at the time of the visit is not available.

**Clinical Notes** 

**Ancillary Note** 

Nalipinski, Paige M, CCC-SLP at 4/5/2022 1100

Stephens Memorial Hospital MaineHealth

Physical Rehabilitation 193 Main Street, Norway, ME 04268

Phone (207) 744-6160 Fax (207) 743-1577

Speech Pathology OUTPATIENT Daily Note 4/5/2022

Client:

Todd S. Tilley

Patient seen for individual treatment session.

Authorized Visits: 1a out of 20

End Date: 5/30/22

S: Todd arrived to today's appointment on time, unaccompanied. He reported being "in a funk" and wasn't feeling well after "food poisoning" this weekend. Despite his not feeling well, his spontaneous speech is more fluent with increased accurate word use/choice.

O/A: Functional therapy was the focus today:

Treatment area(s):

Generated on 5/16/22 1:42 PM

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## Case 1:23-cr-00038-TNM Document 31-5 Filed 03/09/23 Page 26 of 45

Mainel leabh Shared Electronic Health Record

Tilley, Todd S.

Adm: 4/5/2022, D/C: 4/5/2022

## 04/05/2022 - SLP Treatment in WMHS Speech Therapy (continued)

#### Clinical Notes (continued)

verbal expression, language organization, auditory comprehension, reading comprehension and written expression

#### Short term objectives:

Goal 1: The patient will manipulate the communication environment by adhering to the following strategies:

- Controlling the Communication Environment- GOAL MET.
  - Reduce/eliminate distractions
  - o Watch the speaker
- Setting the stage for the interaction
  - Ask for the topic of the message so you can use context cues to help you
- · Prompting the Speaker
- Signal when you have not understood-GOAL MET.

Goal 2: The patient will learn and apply strategies to facilitate comprehension in conversation.

- Patient will signal or tell partner when unfamiliar with a word, or unsure of its meaning. Partner will respond
  by rephrasing, repeating, or using an alternative way of communicating the concept. GOAL MET. Todd is
  comfortable asking conversational partner to repeat or clarify.
  - Patient will ask for repetition or clarification, and repeat information back to partner to ensure accurate comprehension.GOAL ONGOING.
  - Auditory Comprehension- able to determine if 2 sentences read to him were saying the same thing or something different to work on complex AC- 70 % correct (7/10). Some trouble when differences were in intent vs. Action (No one felt like cooking to they went out. Vs. They went to a fast food restaurant because they were in a hurry.)
  - Reading comprehension-in homework task, followed written commands with 100% accuracy (16/16).

Goal 3: The patient will learn and apply strategies to facilitate expression in conversation.

- Patient will respond to a comment or question in conversation by using any modality/strategy including the following:
  - o Provide a response to expand nuanced vocabulary use. GOAL ONGOING.
  - Verbal expression- Named items based on description with 86% accuracy (13/15). Errors were semantic paraphasias (cookie tin/cookie sheet and hole digger/post digger). Increasingly aware of mistakes, but trouble with self correction.
  - o Write a word, short phrase, or sentence. GOAL ONGOING. Wrote word to match definition with 95% accuracy 19/20). All words were spelled correctly. Error x1 in misinterpreting clue (What you cover a table with to keep it clean- "Pine Sol was asking for a cover such as table cloth vs cleaning product).

Goal 4: Continue to monitor cognitive status and provide strategies to monitor his performance on tasks. GOAL ONGOING.

### Home Program work completed: yes.

Completed worksheets targeting following written directions and word finding.

Patient Education completed in the session:yes. Reviewed strategies for self monitoring and repairing. Learner(s):
Patient

**Method:** verbal explanation and demonstration **Response:** Patient demonstrated understanding

### P: Follow up plan:

Recommend continue treatment 1x/week for total of 20 sessions. Will determine if need to continue at that time. Increase target language skills.

Homework assigned for auditory comprehension, word finding and written expression.

Case 1:23-cr-00038-TNM Document 31-5 Filed 03/09/23 Page 27 of 45

Mainel Health
Shared Electronic
Health Record

Tilley, Todd S.

Sex: M

Adm: 4/5/2022, D/C: 4/5/2022

04/05/2022 - SLP Treatment in WMHS Speech Therapy (continued)

Clinical Notes (continued)

Next session: 4/12/22

Paige Nalipinski, MA, CCC, SLP Speech Pathology

Charge: Speech Therapy 92507

Time:50 minutes

Electronically signed by Nalipinski, Paige M, CCC-SLP at 04/05/22 1235

# Case 1:23-cr-00038-TNM Document 31-5 Filed 03/09/23 Page 28 of 45

Maine Health Shared Electronic Health Record

Tilley, Todd S.

Sex: M

Adm: 3/29/2022, D/C: 3/29/2022

## 03/29/2022 - SLP Treatment in WMHS Speech Therapy

Visit Information

Admission Information

Arrival Date/Time:

Admission Type:

Means of Arrival:

Elective

Admit Date/Time: Point of Origin:

03/29/2022 0954 Clinic/physician IP Adm, Date/Time: Admit Category:

Referral

Not In

Secondary Service:

N/A

MAINEHEALTH

Unit:

WMHS Speech

Therapy

Transfer Source:
Admit Provider:

Primary Service: Service Area: Attending Provider:

System, Provider

Referring Provider:

System, Provider

Not In

Discharge Information

Discharge Date/Time 03/29/2022 2359 Discharge Disposition
Home Or Self Care

Discharge Destination
None

Discharge Provider
None

Unit
WMHS Speech Therapy

**Treatment Team** 

Provider	Service	Role	Specialty	From	То	
System, Provider Not In		Attending Provider		02/24/22 1058		

#### Medication List

#### **Medication List**

This visit has been closed. A record of the med list at the time of the visit is not available.

#### Clinical Notes

**Ancillary Note** 

Nalipinski, Paige M, CCC-SLP at 3/29/2022 1000

Stephens Memorial Hospital

Physical Rehabilitation

193 Main Street, Norway, ME 04268 Phone (207) 744-6160 Fax (207) 743-1577

Speech Pathology OUTPATIENT Daily Note 3/29/2022

Client:

Todd S. Tilley



Patient seen for individual treatment session.

Authorized Visits: 10 out of 20

End Date: 5/30/22

S: Todd arrived to today's appointment on time, unaccompanied. He was highly motivated and participated for the duration of the session. Reported having several issues with significant word finding problems over past week when engaged in emotional situations.

O/A: Functional therapy was the focus today:

Treatment area(s):

Generated on 5/16/22 1:42 PM

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Case 1:23-cr-00038-TNM Document 31-5 Filed 03/09/23 Page 29 of 45

MaineHealth
Shared Electronic
Health Record

Tilley, Todd S.

Adm: 3/29/2022, D/C: 3/29/2022

## 03/29/2022 - SLP Treatment in WMHS Speech Therapy (continued)

## Clinical Notes (continued)

verbal expression, language organization, auditory comprehension, reading comprehension and written expression

## Short term objectives:

Goal 1: The patient will manipulate the communication environment by adhering to the following strategies:

- Controlling the Communication Environment- GOAL MET.
  - Reduce/eliminate distractions
  - Watch the speaker
- · Setting the stage for the interaction
  - o Ask for the topic of the message so you can use context cues to help you
- · Prompting the Speaker
- Signal when you have not understood-GOAL MET.

Goal 2: The patient will learn and apply strategies to facilitate comprehension in conversation.

- Patient will signal or tell partner when unfamiliar with a word, or unsure of its meaning. Partner will respond
  by rephrasing, repeating, or using an alternative way of communicating the concept. GOAL MET. Todd is
  comfortable asking conversational partner to repeat or clarify.
- Patient will ask for repetition or clarification, and repeat information back to partner to ensure accurate comprehension. GOAL ONGOING.
  - Reading comprehension-correcting grammar and word choice in sentences (presented with both errors and correct sentences as foils)- 6/9 correct (66%). Did well when issues were simple, such as changing tense of verb, but had significant difficulty when word order or word choice needed correcting. Recognized issues, but trouble coming up with simple correction. Also did not always recognize if a sentence was accurate-thought some tenses were wrong when in fact they were accurate.

Goal 3: The patient will learn and apply strategies to facilitate expression in conversation.

- Patient will respond to a comment or question in conversation by using any modality/strategy including the following:
  - Provide a response to expand nuanced vocabulary use. GOAL ONGOING.
    - Verbal expression- Formulated simple, grammatically accurate sentence given 2 target words with 90% accuracy (9/10). Error sentence with syntax issues ("Good friends do your loyalty.").
  - o Write a word, short phrase, or sentence. GOAL ONGOING.

**Goal 4**: Continue to monitor cognitive status and provide strategies to monitor his performance on tasks. **GOAL ONGOING**. Studies picture and answered questions re: details with 100% accuracy.

## Home Program work completed: yes.

Completed worksheets targeting following written directions (36/40 correct)

Patient Education completed in the session:yes. Reviewed vulnerabilities when he is fatigued or emotional (as he experienced over the weekend with his daughter)

Learner(s): Patient

Method: verbal explanation and demonstration Response: Patient demonstrated understanding

## P: Follow up plan:

Recommend continue treatment 1x/week for total of 20 sessions. Will determine if need to continue at that time. Increase target language skills.

Homework assigned for auditory comprehension, word finding and written expression.

Next session: 4/5/22

Case 1:23-cr-00038-TNM Document 31-5 Filed 03/09/23 Page 30 of 45

Main:Health Shared Electronic Health Record

Tilley, Todd S.

, Sex: M

Adm: 3/29/2022, D/C: 3/29/2022

03/29/2022 - SLP Treatment in WMHS Speech Therapy (continued)

Clinical Notes (continued)

Paige Nalipinski, MA, CCC, SLP Speech Pathology

Charge: Speech Therapy 92507

Time:50 minutes

Electronically signed by Nalipinski, Paige M, CCC-SLP at 03/29/22 1218

# Case 1:23-cr-00038-TNM Document 31-5 Filed 03/09/23 Page 31 of 45

Maine Health Shared Electronic Health Record

Tilley, Todd S.

Adm: 3/22/2022, D/C: 3/22/2022

, Sex: M

## 03/22/2022 - SLP Treatment in WMHS Speech Therapy

### Visit Information

Admission Information

Arrival Date/Time: Admission Type:

Means of Arrival:

Elective

Admit Date/Time: Point of Origin:

Primary Service:

03/22/2022 0950 Clinic/physician IP Adm. Date/Time: Admit Category:

Referral Adm

ıaı

Secondary Service:

Referring Provider:

N/A WMHS Speech

Transfer Source: Service Area:

Attending Provider:

MAINEHEALTH
System, Provider

Unit:

Therapy System, Provider

Not In

Discharge Information

Admit Provider:

Discharge Date/Time 03/22/2022 2359

Discharge Disposition Home Or Self Care Discharge Destination
None

Not In

Discharge Provider None Unit WMHS Speech Therapy

**Treatment Team** 

 Provider
 Service
 Role
 Specialty
 From
 To

 System, Provider Not In
 Attending Provider
 —
 02/24/22 1058
 —

## Medication List

#### **Medication List**

This visit has been closed. A record of the med list at the time of the visit is not available.

#### **Clinical Notes**

**Ancillary Note** 

Nalipinski, Paige M, CCC-SLP at 3/22/2022 1115

Stephens Memorial Hospital
Maine Health

Physical Rehabilitation 193 Main Street, Norway, ME 04268 Phone (207) 744-6160 Fax (207) 743-1577

Speech Pathology OUTPATIENT Daily Note 3/22/2022

Client:

Todd S. Tilley 6/6/19

6/6/1961)

Patient seen for individual treatment session.

Authorized Visits:9 out of 20

End Date: 5/30/22

S: Todd arrived to today's appointment on time, unaccompanied. He was highly motivated and participated for the duration of the session. Asked top reduce sessions to 1x/week as his ride can no longer bring him in Thursdays. Also agred to OT assessment.

O/A: Functional therapy was the focus today:

## Treatment area(s):

Generated on 5/16/22 1:42 PM

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Case 1:23-cr-00038-TNM Document 31-5 Filed 03/09/23 Page 32 of 45

Maine Health
Shared Electronic
Health Record

Tilley, Todd S.

Adm: 3/22/2022, D/C: 3/22/2022

Sex: N

# 03/22/2022 - SLP Treatment in WMHS Speech Therapy (continued)

Clinical Notes (continued)

verbal expression, language organization, auditory comprehension, reading comprehension and written expression

## Short tem objectives:

Goal 1: The patient will manipulate the communication environment by adhering to the following strategies:

- Controlling the Communication Environment- GOAL MET.
  - Reduce/eliminate distractions
  - Watch the speaker
- Setting the stage for the interaction
  - o Ask for the topic of the message so you can use context cues to help you
- · Prompting the Speaker
- Signal when you have not understood-GOAL MET.

Goal 2: The patient will learn and apply strategies to facilitate comprehension in conversation.

- Patient will signal or tell partner when unfamiliar with a word, or unsure of its meaning. Partner will respond
  by rephrasing, repeating, or using an alternative way of communicating the concept. GOAL MET. Todd is
  comfortable asking conversational partner to repeat or clarify.
- Patient will ask for repetition or clarification, and repeat information back to partner to ensure accurate comprehension.GOAL ONGOING.
  - Auditory comprehension- Followed explicit instructions to draw complex design with 70% accuracy.
     Needed frequent repetition of multiunit directions (I.e. draw a line to the left of the square 1/2 inch from the right margin.), but has good insight as to when his comprehension is breaking down.
  - Reading comprehension- completed deductive reasoning exercises that required attention to details such as functors, adverbs, etc with 60% accuracy. Tended to work fast and miss details. When asked to recheck his work, he generally could find his errors and correct them.

Goal 3: The patient will learn and apply strategies to facilitate expression in conversation.

- Patient will respond to a comment or question in conversation by using any modality/strategy including the following:
  - o Provide a response to expand nuanced vocabulary use. GOAL ONGOING.
    - Verbal expression-Gave detailed instructions to clinician to draw complex designs with 90% accuracy. Required use of very specific and detailed terminology and he did an excellent job of being explicit. Had several paraphasic errors (shiney face/happy face).
  - Write a word, short phrase, or sentence.GOAL ONGOING.

Goal 4: Continue to monitor cognitive status and provide strategies to monitor his performance on tasks. GOAL ONGOING.

## Home Program work completed: yes.

Completed worksheets targeting reading comprehension and sentence formulation

Patient/Family/Caregiver Education completed in the session:yes. Reviewed strategies to monitor verbal output for obvious syntax errors and paraphasias.

Learner(s): Patient

Method: verbal explanation and demonstration Response: Patient demonstrated understanding

## P: Follow up plan:

Recommend continue treatment 1x/week for total of 20 sessions. Will determine if need to continue at that time. Increase target language skills.

Homework assigned for auditory comprehension, word finding and written expression.

Case 1:23-cr-00038-TNM Document 31-5 Filed 03/09/23 Page 33 of 45

Mainel leabh Shared Electronic Health Record

Tilley, Todd S.

Sex: I

Adm: 3/22/2022, D/C: 3/22/2022 03/22/2022 - SLP Treatment in WMHS Speech Therapy (continued)

Clinical Notes (continued)

Next session: 3/29/22

Paige Nalipinski, MA, CCC, SLP Speech Pathology

Charge: Speech Therapy 92507

Time:50 minutes

Electronically signed by Nalipinski, Paige M, CCC-SLP at 03/22/22 1253

## Case 1:23-cr-00038-TNM Document 31-5 Filed 03/09/23 Page 34 of 45

MaineHealth Shared Electronic Health Record

Tilley, Todd S.

Adm: 3/17/2022, D/C: 3/17/2022

, Sex: M

# 03/17/2022 - SLP Treatment in WMHS Speech Therapy

#### Visit Information

Admission Information	n	
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Arrival Date/Time: Admission Type:

Means of Arrival:

Elective

Admit Date/Time: Point of Origin:

Primary Service:

Service Area:

03/17/2022 0957 Clinic/physician

IP Adm, Date/Time: Admit Category:

Referral

Secondary Service:

N/A WMHS Speech

Therapy

Transfer Source: Admit Provider:

Attending Provider:

System, Provider Not In

MAINEHEALTH

Referring Provider:

System, Provider Not In

Discharge Information

Discharge Date/Time 03/17/2022 2359

Discharge Disposition Home Or Self Care

**Discharge Destination** 

Discharge Provider None

WMHS Speech Therapy

Unit

**Treatment Team** 

Provider	Service	Role	Specialty	From	То	
System, Provider Not		Attending Provider		02/24/22 1058		
In				02/21/22 1000	-	

#### Medication List

#### **Medication List**

This visit has been closed. A record of the med list at the time of the visit is not available.

#### Clinical Notes

#### **Ancillary Note**

Nalipinski, Paige M, CCC-SLP at 3/17/2022 1000

Stephens Mcmorial Hospital Mainel lealth

Physical Rehabilitation

193 Main Street, Norway, ME 04268 Phone (207) 744-6160 Fax (207) 743-1577

Speech Pathology OUTPATIENT Daily Note 3/17/2022

Client:

Todd S. Tilley

Patient seen for individual treatment session.

Authorized Visits: 8 out of 20

End Date: 4/30/22

S: Todd arrived to today's appointment on time, unaccompanied. He was highly motivated and participated for the duration of the session. Reported that a friend commented on his improving speech and memory.

O/A: Functional therapy was the focus today:

## Treatment area(s):

verbal expression, language organization, auditory comprehension, reading comprehension and written expression

Case 1:23-cr-00038-TNM Document 31-5 Filed 03/09/23 Page 35 of 45

Maine Health
Shared Electronic
Health Record

Tilley, Todd S.

Sex: M
Adm: 3/17/2022, D/C: 3/17/2022

## 03/17/2022 - SLP Treatment in WMHS Speech Therapy (continued)

Clinical Notes (continued)

## Short tem objectives:

Goal 1: The patient will manipulate the communication environment by adhering to the following strategies:

- Controlling the Communication Environment- GOAL MET.
  - o Reduce/eliminate distractions
  - o Watch the speaker
- Setting the stage for the interaction
  - Ask for the topic of the message so you can use context cues to help you
- Prompting the Speaker
- Signal when you have not understood-GOAL MET.

Goal 2: The patient will learn and apply strategies to facilitate comprehension in conversation.

- Patient will signal or tell partner when unfamiliar with a word, or unsure of its meaning. Partner will respond
  by rephrasing, repeating, or using an alternative way of communicating the concept. GOAL ONGOING.
  Todd stops clinician when he does not understand, with increasing consistency.
- Patient will ask for repetition or clarification, and repeat information back to partner to ensure accurate comprehension.GOAL ONGOING.
  - Auditory comprehension- Read analogies and understood relationship with 90% accuracy.
  - Reading comprehension- read sentences he wrote for homework recognizing grammatical errors 60% of the time. Had most trouble with suffix appropriateness (apologized vs. Apologizing)
  - Following single sentence written commands with 91% accuracy.

Goal 3: The patient will learn and apply strategies to facilitate expression in conversation.

- Patient will respond to a comment or question in conversation by using any modality/strategy including the following:
  - o Provide a response to expand nuanced vocabulary use. GOAL ONGOING.
    - Verbal expression- Provided accurate responses in analogies with 90% accuracy.
    - Verbal expression- completed abstract word finding task with 11/12 correct.
  - o Write a word, short phrase, or sentence. GOAL ONGOING.
    - Written expression- Provided sentence describing pictured item using appropriate adjectives and level of specificity needed to discriminate item from similar items (I.e.goat- An animal which is milked, eaten as food, has horns and hooves, can butt with its head and makes a bleating noise.)-60% accurate with errors in word choice and verbosity.

Goal 4: Continue to monitor cognitive status and provide strategies to monitor his performance on tasks. GOAL ONGOING.

Home Program work completed: yes.

Completed worksheets targeting reading comprehension and sentence formulation

Patient/Family/Caregiver Education completed in the session:yes. Reviewed strategies to monitor verbal output for obvious syntax errors and paraphasias.

Learner(s): Patient

Method: verbal explanation and demonstration Response: Patient demonstrated understanding

P: Follow up plan:

Recommend continue treatment 2x/week for total of 20 sessions. Will determine if need to continue at that time. Increase target language skills.

Homework assigned for auditory comprehension, word finding and written expression.

Case 1:23-cr-00038-TNM Document 31-5 Filed 03/09/23 Page 36 of 45

MaineHealth Shared Electronic Health Record

Tilley, Todd S.

MSM: E2965416, DOB: 6/6/1961 Sex: M Adm: 3/17/2022, D/C: 3/17/2022

03/17/2022 - SLP Treatment in WMHS Speech Therapy (continued)

Clinical Notes (continued)

Next session: 3/22/22

Paige Nalipinski, MA, CCC, SLP Speech Pathology

Charge: Speech Therapy 92507 Time:50 minutes

Electronically signed by Nalipinski, Paige M, CCC-SLP at 03/17/22 1121

# Case 1:23-cr-00038-TNM Document 31-5 Filed 03/09/23 Page 37 of 45

Mainel leabh Shared Electronic Health Record

Tilley, Todd S. Sex: M Adm: 3/15/2022, D/C: 3/15/2022

## 03/15/2022 - SLP Treatment in WMHS Speech Therapy

Visit	Informati	on

Admission Information

Arrival Date/Time: Admission Type:

Elective

Admit Date/Time: Point of Origin:

03/15/2022 0958 Clinic/physician

IP Adm. Date/Time: Admit Category:

Means of Arrival:

Primary Service: Service Area: Transfer Source:

Secondary Service:

N/A WMHS Speech

MAINEHEALTH

Unit:

Therapy

Admit Provider:

Attending Provider:

System, Provider Not In

Referral

Referring Provider:

System, Provider Not In

Discharge Information

Discharge Date/Time 03/15/2022 2359

Discharge Disposition Home Or Self Care

**Discharge Destination** 

Discharge Provider

Unit WMHS Speech Therapy

**Treatment Team** 

Provider	Service	Role	Specialty	From	To	
System, Provider Not In		Attending Provider	_	02/24/22 1058	444	

#### Medication List

#### **Medication List**

This visit has been closed. A record of the med list at the time of the visit is not available.

#### **Clinical Notes**

#### **Ancillary Note**

Nalipinski, Palge M, CCC-SLP at 3/15/2022 1000

Stephens Memorial Hospital MaineHealth

Physical Rehabilitation

193 Main Street, Norway, ME 04268 Phone (207) 744-6160 Fax (207) 743-1577

Speech Pathology OUTPATIENT Daily Note 3/15/2022

Client:

Todd S. Tilley

Patient seen for individual treatment session.

Authorized Visits: 7 out of 20 (canelled last Thursday due to friend needing help).

End Date: 3/31/22

S: Todd arrived to today's appointment on time, unaccompanied. He was highly motivated and participated for the duration of the session. Has noted that his reading comprehension has improved a lot over the past two weeks.

O/A: Functional therapy was the focus today:

## Treatment area(s):

verbal expression, language organization, auditory comprehension, reading comprehension and written expression

Case 1:23-cr-00038-TNM Document 31-5 Filed 03/09/23 Page 38 of 45

Maine Health Shared Electronic Health Record

Tilley, Todd S.

Adm: 3/15/2022, D/C: 3/15/2022

# 03/15/2022 - SLP Treatment in WMHS Speech Therapy (continued)

Clinical Notes (continued)

## Short tem objectives:

Goal 1: The patient will manipulate the communication environment by adhering to the following strategies:

- Controlling the Communication Environment- GOAL ONGOING.
  - o Reduce/eliminate distractions
  - Watch the speaker
- · Setting the stage for the interaction
  - o Ask for the topic of the message so you can use context cues to help you
- Prompting the Speaker
- Signal when you have not understood-GOAL MET.

Goal 2: The patient will learn and apply strategies to facilitate comprehension in conversation.

- Patient will signal or tell partner when unfamiliar with a word, or unsure of its meaning. Partner will respond by rephrasing, repeating, or using an alternative way of communicating the concept. GOAL ONGOING.
- Patient will ask for repetition or clarification, and repeat information back to partner to ensure accurate comprehension.GOAL ONGOING.
  - CompletedTrue/False statements read aloud to him with 20/20 correct (100% accuracy). Did not need repetition. Understanding nuanced semantics better.
  - Reading comprehension- Answered questions re: simple 5 sentence paragraph he read with 100%
    accuracy. He read silently to himself, fully comprehending and with excellent recall of what he read as
    he did not need to look back at the story at all.

Goal 3: The patient will learn and apply strategies to facilitate expression in conversation.

- Patient will respond to a comment or question in conversation by using any modality/strategy including the following:
  - o Provide a response to expand nuanced vocabulary use. GOAL ONGOING.
    - Todd completed abstract word finding task with 19/20 correct. His only error was due to decreased discrimination between target and similar word (shiny vs. shiny) so not actually in the word finding portion of the task.
    - Provided sentences using 2 homophones accurately (dear-deer, him/hymn) with 100% correct.
       Sentences were quite simple, but accurate. Assigned same task but as writing task for homework and encouraged more complex use.
  - o Write a word, short phrase, or sentence. GOAL ONGOING.

Goal 4: Continue to monitor cognitive status and provide strategies to monitor his performance on tasks.

\*Todd is starting to have increased awareness of paraphasic errors in his spontaneous speech. Today he stopped mid sentence, having some realization that a word used was incorrect, and self corrected. (dracked/dragged).

## Home Program work completed: yes.

Completed worksheets targeting sentence formulation- wrote sentence responding to situational dilemmas without errors 70% of the time. Errors noted in syntax ("After apologized, I would offer to repay the value of the vase.")
 Patient/Family/Caregiver Education completed in the session:yes. Reviewed strategies to check written work (I.e. oral reading) as way to check for obvious syntax errors in writing. Learner(s): Patient

Method: verbal explanation and demonstration Response: Patient demonstrated understanding

#### P: Follow up plan:

Recommend continue treatment 2x/week for total of 20 sessions. Will determine if need to continue at that time. Increase target language skills.

Case 1:23-cr-00038-TNM Document 31-5 Filed 03/09/23 Page 39 of 45

MoineHealth Shared Electronic Health Record Tilley, Todd S.

Sex: N

Adm: 3/15/2022, D/C: 3/15/2022 03/15/2022 - SLP Treatment in WMHS Speech Therapy (continued)

Clinical Notes (continued)

Homework assigned for auditory comprehension, word finding and written expression.

Next session: 3/17/22

Paige Nalipinski, MA, CCC, SLP Speech Pathology

Charge: Speech Therapy 92507

Time:50 minutes

Electronically signed by Nalipinski, Paige M, CCC-SLP at 03/15/22 1142

# Case 1:23-cr-00038-TNM Document 31-5 Filed 03/09/23 Page 40 of 45

Mainel lealth Shared Electronic Health Record

Tilley, Todd S.

Adm: 3/8/2022, D/C: 3/8/2022

Sex: M

## 03/08/2022 - SLP Treatment in WMHS Speech Therapy

Visit	Inform	nation

Admission Information

Arrival Date/Time:

Transfer Source:

Admission Type:

Elective

Admit Date/Time: Point of Origin:

03/08/2022 0959 Clinic/physician Referral

IP Adm. Date/Time:

Admit Category:

Primary Service: Means of Arrival:

Service Area:

MAINEHEALTH

Secondary Service:

N/A WMHS Speech

Unit:

Therapy

Admit Provider:

Attending Provider:

System, Provider Not In

Referring Provider:

System, Provider

Not In

Discharge Information

Discharge Date/Time 03/08/2022 2359

Discharge Disposition Home Or Self Care

**Discharge Destination** None

Discharge Provider None

Unit WMHS Speech Therapy

Treatment Team

Provider	Service	Role	Specialty	From	To	
System, Provider Not		Attending Provider		02/24/22 1058		
ln .						

## **Medication List**

#### **Medication List**

This visit has been closed. A record of the med list at the time of the visit is not available.

## **Clinical Notes**

#### **Ancillary Note**

Nalipinski, Palge M, CCC-SLP at 3/8/2022 1000

Stephens Memorial Hospital dilleHealth

Physical Rehabilitation 193 Main Street, Norway, ME 04268 Phone (207) 744-6160 Fax (207) 743-1577

Speech Pathology OUTPATIENT Daily Note 3/8/2022

Client:

Todd S. Tilley

Patient seen for individual treatment session.

Authorized Visits: 6 out of 20

End Date: 3/31/22

S: Todd arrived to today's appointment on time, unaccompanied. He was highly motivated and participated for the duration of the session.

O/A: Functional therapy was the focus today:

Treatment area(s):

verbal expression, language organization, auditory comprehension, reading comprehension and written expression

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Case 1:23-cr-00038-TNM Document 31-5 Filed 03/09/23 Page 41 of 45

Maine Health Shared Electronic Health Record

Tilley, Todd S. , Sex: M Adm: 3/8/2022, D/C: 3/8/2022

## 03/08/2022 - SLP Treatment in WMHS Speech Therapy (continued)

Clinical Notes (continued)

## Short tem objectives:

Goal 1: The patient will manipulate the communication environment by adhering to the following strategies:

- Controlling the Communication Environment- GOAL ONGOING.
  - o Reduce/eliminate distractions
  - Watch the speaker
- Setting the stage for the interaction
  - Ask for the topic of the message so you can use context cues to help you
- Prompting the Speaker
- Signal when you have not understood-GOAL MET. In today's session, Todd signaled clinician, asking for repetition, whenever he lost attention or comprehension of the question or message being communicated to him. He approprietly asked for material to be simplified on one instance.

Goal 2: The patient will learn and apply strategies to facilitate comprehension in conversation.

- Patient will signal or tell partner when unfamiliar with a word, or unsure of its meaning. Partner will respond by rephrasing, repeating, or using an alternative way of communicating the concept, GOAL ONGOING.
- Patient will ask for repetition or clarification, and repeat information back to partner to ensure accurate comprehension. GOAL ONGOING.
  - CompletedTrue/False statements read aloud to him with 34/37 correct (91% accuracy), asking for repetition of the statement 3 times after which he correctly responded. Demonstrated excellent understanding and realization as to when he did not fully understand on first presentation.
  - Reading comprehension- Answered comparison questions (Is a dollar less than 50 cents?) with 100% accuracy.

Goal 3: The patient will learn and apply strategies to facilitate expression in conversation.

- Patient will respond to a comment or question in conversation by using any modality/strategy including the following:
  - Provide a response to expand nuanced vocabulary use, GOAL ONGOING, Todd correctly determined association of 2 of 4 words read aloud, correctly supplying association word with 100% accuracy
  - Write a word, short phrase, or sentence, GOAL ONGOING.
    - o Todd was presented with sentences he wrote for last week's homework and was asked to edit his work correcting syntax and morphology. He worked slowly, but was able to find 90% of his errors and correct them. Was surprised by how many he made, mostly related to tense and word endings.
    - o Todd wrote sentences in response to problem statements (What would you do if you witnessed a car accident?). He was 4/4 for spelling, 3/4 for logic, 2/4 for grammar and he successfully checked and edited his work 2/4 times.

Goal 4: Continue to monitor cognitive status and provide strategies to monitor his performance on tasks.

### Home Program work completed: yes,

Completed worksheets targeting sentence formulation- wrote sentence using 2 target words with 80% success Patient/Family/Caregiver Education completed in the session: yes. Reviewed strategies to check written work (I.e. oral reading) as way to check for obvious syntax errors in writing. Learner(s): Patient

**Method:** verbal explanation and demonstration

Response: Patient demonstrated understanding

### P: Follow up plan:

Recommend continue treatment 2x/week for total of 20 sessions. Will determine if need to continue at that time.

Case 1:23-cr-00038-TNM Document 31-5 Filed 03/09/23 Page 42 of 45

Maine Health Shared Electronic Health Record

Tilley, Todd S. WRN, E2955416, DOB: 6/6/15 Adm: 3/8/2022, D/C: 3/8/2022

Sex: M

## 03/08/2022 - SLP Treatment in WMHS Speech Therapy (continued)

Clinical Notes (continued)

Increase to target language skills.

Homework assigned for auditory comprehension, word finding and written expression.

Next session: 3/10/22

Paige Nalipinski, MA, CCC, SLP Speech Pathology

Charge: Speech Therapy 92507

Time:55 minutes

Electronically signed by Nalipinski, Palge M, CCC-SLP at 03/09/22 0815

# Case 1:23-cr-00038-TNM Document 31-5 Filed 03/09/23 Page 43 of 45

MaineHealth Shared Electronic Health Record

Tilley, Todd S.

Adm: 3/3/2022, D/C: 3/3/2022

Sex: M

## 03/03/2022 - SLP Treatment in WMHS Speech Therapy

	Visit	Informati	on
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Admission Information

Arrival Date/Time: Admission Type:

Elective

Admit Date/Time: Point of Origin:

03/03/2022 0958 Clinic/physician Referral

IP Adm. Date/Time: Admit Category:

Means of Arrival: Transfer Source:

Primary Service: Service Area:

MAINEHEALTH

Secondary Service: Unit:

WMHS Speech

Admit Provider:

Attending Provider:

Therapy

System, Provider Not In

Referring Provider:

System, Provider

Not In

N/A

Discharge Information

Discharge Date/Time 03/03/2022 2359

**Discharge Disposition** Home Or Self Care

**Discharge Destination** None

Discharge Provider None

Unit WMHS Speech Therapy

Treatment Team

To Specialty From Provider Service Role 02/24/22 1058 Attending Provider System, Provider Not

### **Medication List**

#### **Medication List**

This visit has been closed. A record of the med list at the time of the visit is not available.

### Clinical Notes

**Ancillary Note** 

Nalipinski, Paige M, CCC-SLP at 3/3/2022 1000



Physical Rehabilitation

193 Main Street, Norway, ME 04268 Phone (207) 744-6160 Fax (207) 743-1577

Speech Pathology OUTPATIENT Daily Note 3/3/2022

Client:

Todd S. Tilley

Patient seen for individual treatment session.

Authorized Visits: 5 out of 20

End Date: 3/31/22

S: Todd arrived to today's appointment on time, unaccompanied. He was present and participated for the duration of the session.

O/A: Functional therapy was the focus today:

Treatment area(s):

verbal expression, language organization, auditory comprehension, reading comprehension and written expression

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# 03/03/2022 - SLP Treatment in WMHS Speech Therapy (continued)

Clinical Notes (continued)

# Short tem objectives:

Goal 1: The patient will manipulate the communication environment by adhering to the following strategies:

- Controlling the Communication Environment- GOAL ONGOING.
  - Reduce/eliminate distractions
  - Watch the speaker
- Setting the stage for the interaction
  - o Ask for the topic of the message so you can use context cues to help you
- Prompting the Speaker
- Signal when you have not understood-GOAL ONGOING. Over course of treatment, asks for repetition approprietly, almost always recognizing when he has not fully understood instructions.

Goal 2: The patient will learn and apply strategies to facilitate comprehension in conversation.

- Patient will signal or tell partner when unfamiliar with a word, or unsure of its meaning. Partner will respond by rephrasing, repeating, or using an alternative way of communicating the concept. GOAL ONGOING.
- Completed comparison exercises with 12/20 (60%) correct (Is a nickel worth more than a quarter?). With repetition and ample time, can determine appropriate response and rationale.
- Followed simple 2 step written instructions with 87% accuracy.(Circle the animal then underline the piece of unruptured.).
- Patient will ask for repetition or clarification, and repeat information back to partner to ensure accurate comprehension.GOAL ONGOING.

Goal 3: The patient will learn and apply strategies to facilitate expression in conversation.

- Patient will respond to a comment or question in conversation by using any modality/strategy including the following:
  - o Provide a response to expand nuanced vocabulary use. GOAL ONGOING. Made up sentences using 2 target words with 50% accuracy with errors seen in word order and syntax (I think I'd been spoken about yesterday.)- He was able to recognize and correct errors when clinician wrote his sentences verbatim.
  - o Write a word, short phrase, or sentence. GOAL ONGOING. Correcting sentences with embedded syntax errors. 60% accurate. Recognized tense and morphological errors, but more trouble with word order to simplify sentence.

Goal 4: Continue to monitor cognitive status and provide strategies to monitor his performance on tasks.

Home Program work completed: yes.

- Completed worksheets targeting sentence formulation (43% with errors in syntax and word order such as "I drive a car to travel with safety.").
- Wrote a short letter to his doctor and was very wordy making it hard to understand his request (I am hoping to experience the professionalism I saw in the new PCP in the same way I have seen my eyes in your few weeks I have centered under your care. Thanks very much.)

Patient/Family/Caregiver Education completed in the session:yes. Reviewed use of oral reading as way to check for obvious syntax errors in writing. Learner(s): Patient

Method: verbal explanation and demonstration Response: Patient demonstrated understanding

P: Follow up plan:

Recommend continue treatment 2x/week for total of 20 sessions.

Increase to target language skills.

Homework assigned for auditory comprehension, word finding and written expression.

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MoineHealth Shared Electronic Health Record Tilley, Todd S.

1961 Sex: M

Adm: 3/3/2022, D/C: 3/3/2022 03/03/2022 - SLP Treatment in WMHS Speech Therapy (continued)

Clinical Notes (continued)

Next session:

3/8/22

Paige Nalipinski, MA, CCC, SLP Speech Pathology

Charge: Speech Therapy 92507

Time:55 minutes

Electronically signed by Nalipinski, Paige M, CCC-SLP at 03/03/22 1132