UNITED STATES DISTRICT COURT FOR THE DISTRICT OF COLUMBIA

UNITED STATES OF AMERICA	:	
V.	:	Case No. 22-cr-186 (TJK)
RALPH JOSEPH CELENTANO III,	: :	
Defendant.	•	

JOINT PROPOSED JURY INSTRUCTIONS

Instructions Before and During Trial

The parties have no objection to the Pattern Criminal Jury Instructions for the District of Columbia, 2021 Release ("Redbook") for preliminary instructions and as appropriate based on the developments at trial.

Final Instructions

The parties jointly request the following Pattern Criminal Jury Instructions, except

where noted.

- 1. Furnishing the Jury with a Copy of the Instructions, Redbook 2.100
- 2. Function of the Court, Redbook 2.101
- 3. Function of the Jury, Redbook 2.102
- 4. Jury's Recollection Controls, Redbook 2.103
- 5. Evidence in the Case, Redbook 2.104
- 6. Statements of Counsel, Redbook 2.105
- 7. Indictment Not Evidence, Redbook 2.106
- 8. Burden of Proof, Redbook 2.107
- 9. Reasonable Doubt, Redbook 2.108
- 10. Direct and Circumstantial Evidence, Redbook 2.109

- 11. Nature of Charges Not To Be Considered, Redbook 2.110
- 12. Number of Witnesses, Redbook 2.111
- 13. Inadmissible and Stricken Evidence, Redbook 2.112
- 14. Credibility of Witnesses, Redbook 2.200
- 15. Police Officer's Testimony, Redbook 2.207 [Defense Objection see proposal below]
- Right of Defendant Not to Testify, Redbook 2.208 or
 Defendant as Witness, Redbook 2.209, as applicable [Defense Objection see proposal below]
- 17. False or Inconsistent Statement by Defendant, Redbook 2.210 as applicable
- 18. Evaluation of Prior Inconsistent Statement of a Witness, Redbook 2.216, *as applicable*
- 19. Evaluation of Prior Consistent Statement of a Witness, Redbook 2.217, *as applicable*
- 20. Statements of the Defendant Substantive Evidence, Redbook 2.305, as applicable
- 21. Proof of State of Mind, Redbook 3.101
- 22. Multiple Counts One Defendant, Redbook 2.402
- 23. Count One, Assaulting, Resisting, or Impeding Certain Officers, in violation of 18U.S.C. § 111(a)(1) [see proposal below]
- 24. Count Two, Civil Disorder, in violation of 18 U.S.C. § 231(a)(3) [see proposal below]
- 25. Count Three, Entering or Remaining in a Restricted Building or Grounds, in violation of 18 U.S.C. § 1752(a)(1) [see proposal below]
- 26. Count Four, Disorderly or Disruptive Conduct in a Restricted Building or Grounds, in violation of 18 U.S.C. § 1752(a)(2) [see proposal below]
- 27. Count Five, Engaging in Physical Violence in a Restricted Building or Grounds, in violation of 18 U.S.C. § 1752(a)(4) [see proposal below]

- 28. Count Six, Act of Physical Violence in the Capitol Grounds or Buildings, in violation of 40 U.S.C. § 5104(e)(2)(F) [see proposal below]
- 29. Count Seven, Obstruction of an Official Proceeding, in violation of 18 U.S.C. §§
 1512(c)(2), 2 [see proposal below]
- 30. Notetaking by Jurors, Redbook 1.105B
- 31. Unanimity—General, Redbook 2.405
- 32. Verdict Form Explanation, Redbook 2.407
- 33. Redacted Documents and Tapes, Redbook 2.500
- 34. Exhibits During Deliberations, Redbook 2.501
- 35. Selection of Foreperson, Redbook 2.502
- 36. Possible Punishment Not Relevant, Redbook 2.505
- 37. Cautionary Instruction on Publicity, Communication, and Research, Redbook 2.508
- 38. Communication Between Court and Jury During Jury's Deliberations, Redbook 2.509
- 39. Attitude and Conduct of Jurors in Deliberations, Redbook 2.510
- 40. Excusing Alternate Jurors, Redbook 2.511
- 41. Court Interaction with Jury During Deliberations Note, Redbook 2.600, *as applicable*
- 42. When Jurors Cannot Agree, Redbook 2.601, as applicable
- 43. Instructions to Jury Before Polling, Redbook 2.602
- 44. Instructions to Jury After Polling, Redbook 2.603
- 45. Comment on Verdict Note, Redbook 2.604

2.207 Police Officer's Testimony¹

A police officer's testimony should be evaluated by you just as any other evidence in the case. In evaluating the officer's credibility, you should use the same guidelines that you apply to the testimony of any witness. In no event should you give either greater or lesser weight to the testimony of any witness merely because he or she is a police officer.

Defense Objection

The defense proposes the following instruction:

You have heard the testimony of law enforcement officers. The fact that a witness is employed as a law enforcement officer does not mean that his or her testimony necessarily deserves more or less consideration or greater or lesser weight than that of any other witness. At the same time, it is quite legitimate for defense counsel to try to attack the believability of a law enforcement witness on the ground that his or her testimony may be colored by a personal or professional interest in the outcome of the case. You must decide, after reviewing all the evidence, whether you believe the testimony of the law enforcement witness and how much weight, if any, it deserves.

See Third Circuit Model Criminal Jury Instruction 4.18, Credibility of Witnesses – Law Enforcement Officer (Feb 2021).

¹ Redbook Instruction 2.207; see also United States v. Douglas Jensen, 21-cr-006 (TJK).

2.208

Right of Defendant Not to Testify²

Every defendant in a criminal case has an absolute right not to testify. Ralph Celentano has chosen to exercise this right. You must not hold this decision against him, and it would be improper for you to speculate as to the reason or reasons for his decision. You must not assume the defendant is guilty because he chose not to testify.

Defense Objection

The defense proposes the following instruction:

Mr. Celentano did not testify (or did not present evidence) in this case. A defendant has an absolute constitutional right not to testify (or to present any evidence). The burden of proof remains with the prosecution throughout the entire trial and never shifts to the defendant. The defendant is never required to prove that she is innocent. You must not attach any significance to the fact that Mr. Celentano did not testify. You must not draw any adverse inference against him because he did not take the witness stand. Do not consider, for any reason at all, the fact that Mr. Celentano did not testify. Do not discuss that fact during your deliberations or let it influence your decision in any way.

See Third Circuit Model Criminal Jury Instruction 4.27, Defendant's Choice not to Testify or Present Evidence (Feb 2021).

² Redbook Instruction 2.208; see also United States v. Douglas Jensen, 21-cr-006 (TJK).

2.209

Defendant as Witness³

A defendant has a right to become a witness on his own behalf. His testimony should not be disbelieved merely because he is the defendant. In evaluating his testimony, however, you may consider the fact that the defendant has a vital interest in the outcome of this trial. As with the testimony of any other witness, you should give the defendant's testimony as much weight as in your judgment it deserves.

Defense Objection

The defense proposes the following instruction:

In a criminal case, the defendant has a constitutional right not to testify. However, if he chooses to testify, he is, of course, permitted to take the witness stand on his own behalf. In this case, Mr. Celentano testified. You should examine and evaluate his testimony just as you would the testimony of any witness.

See Third Circuit Model Criminal Jury Instruction 4.28, Defendant's Testimony (Feb 2021).

³ Redbook Instruction 2.209; see also United States v. Richard Barnett, 21-cr-38 (CRC).

<u>COUNT ONE</u> <u>ASSAULTING, RESISTING, or IMPEDING CERTAIN OFFICERS</u>⁴ 18 U.S.C. § 111(a)(1)

Count One charges that: On or about January 6, 2021, in the District of Columbia, **RALPH CELENTANO** forcibly assaulted, resisted, opposed, impeded, intimidated, or interfered with any person assisting officers of the United States who are engaged in the performance of their official duties, while making physical contact with the person or acting with the intent to commit another felony, in violation of federal law.

To find the defendant guilty of this offense, you must find that the government proved each of the following elements beyond a reasonable doubt:

1. The defendant assaulted, resisted, opposed, impeded, intimidated, or interfered with Officer K.E. United States Capitol Police.

2. The defendant did such acts forcibly.

3. The defendant did such acts voluntarily and intentionally;

4. Officer K.E. was an officer of the United States who was then engaged in the performance of his official duties.

5. The defendant made physical contact with a person assisting officers of the United States who were then engaged in the performance of their official duties, or acted with the intent to commit another felony. For purposes of this element, "another felony" refers to the offense charged in Count Two or Count Seven.

Definitions

The defendant acted "forcibly" if he used force, attempted to use force, or threatened to use force against the officer. A threat to use force at some unspecified time in the future is not sufficient to establish that the defendant acted forcibly. All of the acts alleged—assault, resist, oppose, impede, intimidate and interfere with—are modified by the word "forcibly." Thus, before you can find the defendant guilty you must find, beyond a reasonable doubt, that he acted forcibly.

The term "assault" means any intentional attempt or threat to inflict injury upon someone else, when coupled with an apparent present ability to do so. A finding that one used force (or

⁴ 18 U.S.C. § 111(a)(1); *United States v. Gillespie*, No. 22-cr-60 (BAH) (ECF 62 at 6); *United States v. Jenson*, No. 21-cr-6 (TJK) (ECF 97 at 29); *United States v. Williams*, No. 21-cr-618 (ABJ) (ECF 122 at 32); *Tenth Circuit Pattern Jury Instruction* 2.09 (Assault on a Federal Officer); *United States v. Arrington*, 309 F.3d 40, 47 n.13 (D.C. Cir. 2002).

attempted or threatened to use it) is not the same as a finding that he attempted or threatened to inflict injury. In order to find that the defendant committed an "assault," you must find beyond a reasonable doubt that the defendant acted forcibly and that the defendant intended to inflict or intended to threaten injury.

The terms "resist," "oppose," "impede," "intimidate," and "interfere with" carry their everyday, ordinary meanings.

You are instructed that officers of the United States Capitol Police were acting in their official duties, to protect the U.S. Capitol complex on January 6, 2021, and detaining individuals who lacked authorization to enter the restricted area around the complex. It is not necessary to show that the defendant knew the person being forcibly assaulted, resisted, opposed, impeded, intimidated, or interfered with was, at that time, assisting federal officers in carrying out an official duty so long as it is established beyond a reasonable doubt that the victim was, in fact, assisting a federal officer acting in the course of his duty and that the defendant intentionally forcibly assaulted, resisted, opposed, impeded, intimidated, or interfered with that officer.

Defense Objections

1. Defense objects to the failure to name the actual victim of the offense who is named, by initials in the indictment and whom the government must prove was specifically assaulted.

2. The defense believes that the defendant must have acted "forcibly" with respect to the terms "resist," "oppose," "impede," "intimidate," and "interfere with." Therefore, the instruction should be as follows: In order to find that the defendant resisted, opposed, impeded, intimidated, or interfered with, you must find beyond a reasonable doubt that the defendant forcibly opposed, impeded, intimidated, or interfered with. *United States v. Schrader*, 10 F. 3d 1345, 1349 (8th Cir. 1993).

3. 18 U.S.C. § 111(a)(1) lists two separate bases for liability: 1) if the acts involve physical contact with the victim; or 2) the intent to commit another felony. It is the defense position that the jurors have to be unanimous as to the basis for liability and they should be instructed as such. See *United States v. Kimes*, 246 F.3d 800 (2001).⁵

⁵ If the Court gives this instruction, the defense reserves the right to move to modify the verdict sheet accordingly.

COUNT TWO CIVIL DISORDER⁶ 18 U.S.C. § 231(a)(3)

Count Two charges that: On or about January 6, 2021, in the District of Columbia, **RALPH CELENTANO** did commit or attempted to commit an act to obstruct, impede, or interfere with officers who were lawfully carrying out their official duties incident to a civil disorder, which is a violation of federal law.

To find the defendant guilty of this offense, you must find that the government proved each of the following elements beyond a reasonable doubt:

The defendant knowingly committed an act with the intended purpose of obstructing, impeding, or interfering with law enforcement officers.
 At the time of the defendant's actual act, law enforcement officers were engaged in the lawful performance of his official duties incident to and during a civil disorder.
 The civil disorder in any way or degree obstructed, delayed, or adversely affected either commerce or the movement of any article or commodity in commerce or the conduct or performance of any federally protected function.

Committing or attempting to commit this offense are not separate offenses but alternative ways in which the government alleges that defendant **RALPH CELENTANO** committed this same offense in Count Two. You need not conclude that he both committed and attempted to commit the acts described in the above paragraph. I will instruct you as to both the commission of the offense and the attempted commission of the offense below. You may consider these two alternatives in any order you wish.

A person acts "knowingly" if he realizes what he is doing and is aware of the nature of his conduct, and does not act through ignorance, mistake, or accident. In deciding whether the defendant acted knowingly, you may consider all of the evidence, including what the defendant did or said.

The term "civil disorder" means any public disturbance involving acts of violence by groups of three or more persons, which (a) causes an immediate danger of injury to another individual, (b) causes an immediate danger of damage to another individual's property, (c) results in injury to another individual, or (d) results in damage to another individual's property.

The term "commerce" means commerce or travel between one state, including the District of Columbia, and any other state, including the District of Columbia. It also means commerce wholly within the District of Columbia.

⁶ 18 U.S.C. § 231(a)(3); *United States v. Webster*, No. 21-cr-208 (APM) (ECF 101 at 15-18); *United States v. Robertson*, No. 21-cr-34 (CRC) (ECF 86 at 16); *United States v. Williams*, No. 21-cr-618 (ABJ) (ECF 122 at 25); *United States v. Gillespie*, No. 22-cr-60 (BAH) (ECF 62 at 7-8); *United States v. Jensen*, No. 21-cr-6 (TJK) (ECF 97 at 21-22).

The term "federally protected function" means any function, operation, or action carried out, under the laws of the United States, by any department, agency, or instrumentality of the United States or by an officer or employee thereof.

The term "department" includes executive departments. The Department of Homeland Security, which includes the United States Secret Service, is an executive department.

The term "agency" includes any department, independent establishment, commission, administration, authority, board, or bureau of the United States.

The term "law enforcement officer" means any officer or employee of the United States or the District of Columbia while engaged in the enforcement or prosecution of any criminal laws of the United States or the District of Columbia.

For the U.S. Capitol Police and Metropolitan Police Department on January 6, 2021, the term "official duties," means policing the U.S. Capitol Building and Grounds, and enforcing federal law and D.C. law in those areas.

Defense Objections

1. The defense requested the following language with respect to element 2 of the Civil Disorder count: "At the time of the defendant's actual act, Officer K.E. was engaged in the lawful performance of his official duties incident to and during a civil disorder."

Government Position

1. It is the Government's position that a specific officer does not need to be named in element 2 of the Civil Disorder count.

<u>Attempt⁷</u>

In Count Two, **RALPH CELENTANO** is alternatively charged with attempt to commit the crime of civil disorder. As I mentioned, attempting to commit this offense is not a separate offense but an alternative way in which the government alleges that defendant **RALPH CELENTANO** committed this same offense in Count Two.

In order to find the defendant guilty of attempt to commit civil disorder, you must find that the government proved beyond a reasonable doubt each of the following two elements:

⁷ United States v. Gillespie, No. 22-cr-60 (BAH) (ECF 62 at 8); United States v. Jensen, No. 21-cr-6 (TJK) (ECF 97 at 22-23).

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1. The defendant intended to commit the crime of civil disorder, as I have defined that offense above; and

2. The defendant took a substantial step toward committing civil disorder.

With respect to the first element of attempt, you may not find the defendant guilty of attempt to commit civil disorder merely because he thought about it. You must find that the evidence proved beyond a reasonable doubt that the defendant's mental state passed beyond the stage of thinking about the crime to actually intending to commit it.

With respect to the "substantial step" element, you may not find the defendant guilty of attempt to commit civil disorder merely because he made some plans to or some preparation for committing that crime. Instead, you must find that the defendant took some firm, clear, undeniable action to accomplish his intent to commit civil disorder. However, the substantial step element does not require the government to prove that the defendant did everything except the last step necessary to complete the crime.

<u>COUNT THREE</u> ENTERING OR REMAINING IN A RESTRICTED BUILDING OR GROUNDS⁸ 18 U.S.C. § 1752(a)(1)

Count Three charges that: On or about January 6, 2021, in the District of Columbia, **RALPH CELENTANO** entered or remained in a restricted building or grounds, which is a violation of federal law.

To find the defendant guilty of this offense, you must find that the government proved each of the following elements beyond a reasonable doubt:

- 1. The defendant entered or remained in a restricted building or grounds without lawful authority to do so; and
- 2. The defendant did so knowingly

The term "restricted building or grounds" means any posted, cordoned off, or otherwise restricted area of a building or grounds where a person protected by the Secret Service is or will be temporarily visiting.

The term "person protected by the Secret Service" includes the Vice President, and the immediate family of the Vice President.

Defense Objections:

- 1. The definition of "restricted building or ground" should be... where a person protected by the Secret Service is temporarily visiting or will be temporarily visiting
- 2. The defense objects to the inclusion of "immediate family" as the Indictment does not include that language.
- 3. The defense requests the following language for element 2: "The defendant did so knowingly, meaning he knew that the building or grounds was restricted and he knew he lacked lawful authority to enter or remain there. See United States v. Guy Reffitt, ECF No. 119 at 30."

⁸ 18 U.S.C. § 1752; United States v. Jabr, 4 F.4th 97, 101 (D.C. Cir. 2021); United States v. Gillespie, No. 22-cr-60 (BAH) (ECF 62 at 8-9); United States v. Herrera, No. 21-cr-619 (BAH) (ECF 65 at 8); United States v. Bledsoe, No. 21-cr-204 (BAH) (ECF 215 at 9-10); United States v. Williams, No. 21-cr-377 (BAH) (ECF 112 at 9); United States v. Jensen, No. 21-cr-6 (TJK) (ECF 97 at 34-35).

<u>COUNT FOUR</u> DISORDERLY OR DISRUPTIVE CONDUCT IN A RESTRICTED BUILDING OR <u>GROUNDS⁹</u> 18 U.S.C. § 1752(a)(2)

Count Four charges that: On or about January 6, 2021, in the District of Columbia, **RALPH CELENTANO** engaged in disorderly or disruptive conduct in a restricted building or grounds, which is a violation of federal law.

To find the defendant guilty of this offense, you must find that the government proved each of the following elements beyond a reasonable doubt:

1. The defendant engaged in disorderly or disruptive conduct in, or in proximity to, any restricted building or grounds;

2. The defendant did so knowingly, and with the intent to impede or disrupt the orderly conduct of Government business or official functions; and

3. The defendant's conduct occurred when, or so that, his conduct in fact impeded or disrupted the orderly conduct of Government business or official functions.

The term "restricted building or grounds" and "knowingly" have the same meanings as I have already described to you in the instructions for Count Four for all of the charges.

"Disorderly conduct" occurs when a person is unreasonably loud and disruptive under the circumstances or interferes with another person by jostling against or unnecessarily crowding that person.

"Disruptive conduct" is a disturbance that interrupts an event, activity, or the normal course of a process.

Defense Objections

- 1. The defense contends that the conduct being in a restricted building or grounds is a separate element.
- 2. The definition of "disorderly conduct" should read as follows: Disorderly conduct occurs when a person is unreasonably loud and disruptive under the circumstances or interferes with another person. It may include loud, threatening or abusive language, disruptive conduct, or acting in a manner as to cause another individual to be in reasonable fear that some harm to their person or property is likely. It is behavior that tends to disturb the public peace, offend public morals, or undermine public safety.

⁹ 18 U.S.C. § 1752(a)(2); United States v. Robertson, No. 21-cr-34 (CRC) (ECF 86 at 22-23); United States v. Hale-Cusanelli, No. 21-cr-37 (TNM) (ECF 84 at 33); United States v. Webster, No. 21-cr-208 (APM) (ECF 101 at 20-21); United States v. Herrera, No. 21-cr-619 (BAH) (ECF 65 at 8-9); United States v. Bledsoe, No. 21-cr-204 (BAH) (ECF 215 at 10); United States v. Williams, No. 21-cr-377 (BAH) (ECF 112 at 9-10); United States v. Herrera, No. 21-cr-619 (BAH) (ECF No. 65 at 8-9); United States v. Gillespie, No. 22-cr-60 (BAH) (ECF No. 62 at 9); United States v. Jensen, No. 21-cr-6 (TJK) (ECF 97 at 37-38).

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See United States v. Riley Williams, 21-cr-618 (ABJ), Final Jury Instructions, ECF No. 122 at 38.

<u>COUNT FIVE</u> ENGAGING IN PHYSICAL VIOLENCE IN A RESTRICTED BUILDING OR <u>GROUNDS¹⁰</u> 18 U.S.C. § 1752(a)(4)

Count Five charges that: On or about January 6, 2021, in the District of Columbia, **RALPH CELENTANO** engaged in an act of physical violence against a person or property in a restricted building or grounds, which is a violation of federal law.

To find the defendant guilty of this offense, you must find that the government proved each of the following elements beyond a reasonable doubt:

 The defendant engaged in an act of physical violence against a person or property in, or in proximity to, a restricted building or grounds.
 The defendant did so knowingly.

The term "act of physical violence" means any act involving an assault with intent to harm or injure or other infliction of death or bodily harm on an individual, or damage to, or destruction of, real or personal property. In connection with bodily harm, the act must consist of force capable of causing physical pain or injury to another person.

The terms "restricted building and grounds" and "knowingly" have the same meanings described in the instructions above.

Defense Objections:

1. The defense contends that the act of physical violence occurring in a restricted building or grounds is a separate element.

¹⁰ 18 U.S.C. § 1752(a)(4); United States v. Gillespie, No. 22-cr-60 (BAH) (ECF 62 at 9-10); United States v. Webster, No. 21-cr-208 (APM) (ECF 101 at 23-24); Tenth Circuit Pattern Jury Instruction 2.09 (assault requires intent to harm or injure); Johnson v. United States, 559 U.S. 133, 140 (2010) (clarifying physical force).

<u>COUNT SIX</u> <u>ACT OF PHYSICAL VIOLENCE IN THE CAPITOL GROUNDS OR</u> <u>BUILDINGS¹¹</u> 40 U S C & 5104(a)(2)(E)

40 U.S.C. § 5104(e)(2)(F)

Count Six charges that: On or about January 6, 2021, in the District of Columbia, **RALPH CELENTANO** engaged in physical violence within the United States Capitol Grounds or in any of the Capitol Buildings, which is a violation of federal law.

In order to find the defendant guilty of this offense, you must find that the government proved each of the following elements beyond a reasonable doubt:

1. The defendant engaged in an act of physical violence in the United States Capitol Grounds or any of the Capitol Buildings.

2. The defendant acted willfully and knowingly.

I have already defined the term "act of physical violence" for you in connection with Count Five and it has the same meaning here.

The term "United States Capitol Grounds" includes all squares, reservations, streets, roadways, walks, and other areas as defined on a map entitled "Map showing areas comprising United States Capitol Grounds," dated June 25, 1946, approved by the Architect of the Capitol, and recorded in the Office of the Surveyor of the District of Columba in book 127, page 8. You are instructed that the West Front of the United States Capitol is part of the "United States Capitol Grounds" for purposes of this count.

The terms "willfully" and "knowingly" have the same meanings described in the instructions above.

Defense Objections:

1. The defense contends that the act of violence occurring on Capitol Grounds or in Capitol Buildings is a separate element.

¹¹ 40 U.S.C. § 5104(e)(2)(F); *United States v. Gillespie*, No. 22-cr-60 (BAH) (ECF 62 at 10-11); *United States v. Webster*, No. 21-cr-208 (APM) (ECF 101 at 24-25).

<u>COUNT SEVEN</u> <u>OBSTRUCTION OF AN OFFICIAL PROCEEDING¹²</u> (18 U.S.C. §§ 1512(c)(2), 2)

Count Seven charges as follows: On or about January 6, 2021, within the District of Columbia and elsewhere, **RALPH CELENTANO**, attempted to, or did corruptly obstruct, influence, and impede an official proceeding, that is, a proceeding before Congress, specifically, Congress's certification of the Electoral College vote as set out in the Twelfth Amendment of the Constitution of the United States and 3 U.S.C. (which is short for United States Code) §§ 15–18, in violation of 18 U.S.C. §§ 1512(c)(2), 2.

Included with this charge is that defendant aided and abetted others to commit this offense.

Attempting or aiding and abetting others to commit this offense are not separate offenses but alternative ways in which the government alleges that defendant committed this same offense in Count Seven.

I will first explain the elements of the substantive offense, along with its associated definitions. Then, I will explain how to determine whether the defendant attempted the offense and whether the defendant aided and abetted the offense.

In order to find the defendant guilty of corruptly obstructing an official proceeding, you must find that the government proved each of the following four elements beyond a reasonable doubt:

- 1. The defendant attempted to or did obstruct or impede an official proceeding;
- 2. The defendant intended to obstruct or impede the official proceeding;

3. The defendant acted knowingly, with awareness that the natural and probable effect of his conduct would be to obstruct or impede the official proceeding; and 4. The defendant acted corruptly.

Definitions

The term "official proceeding" includes a proceeding before the Congress. The official proceeding need not be pending or about to be instituted at the time of the offense. If the official proceeding was not pending or about to be instituted, the government must prove beyond a reasonable doubt that the official proceeding was reasonably foreseeable to the defendant. As used in Count Seven, the term "official proceeding" means Congress's Joint Session to certify the Electoral College vote.

¹² 18 U.S.C. § 1512(c)(2); *United States v. Gillespie*, No. 22-cr-60 (BAH) (ECF 62 at 11-12); *United States v. Jensen*, No. 21-cr-6 (TJK) (ECF 97 at 24-25) *United States v. Herrera*, No. 21-cr-619 (BAH) (ECF 65 at 6-8); *United States v. Bledsoe*, No. 21-cr-204 (BAH) (ECF 215 at 6-9); *United States v. Williams*, No. 21-cr-377 (BAH) (ECF 112 at 6-9).

To act "corruptly," the defendant must use unlawful means or have a wrongful or an unlawful purpose, or both. The defendant must also act with "consciousness of wrongdoing." "Consciousness of wrongdoing" means with an understanding or awareness that what the person is doing is wrong or unlawful.

While the defendant must act with intent to obstruct the official proceeding, this need not be his sole purpose. A defendant's unlawful intent to obstruct a proceeding is not negated by the simultaneous presence of another purpose for his conduct.^{13 14}

Not all attempts to obstruct or impede an official proceeding involve acting corruptly. For example, a witness in a court proceeding may refuse to testify by invoking his constitutional privilege against self-incrimination, thereby obstructing or impeding the proceeding, but he does not act corruptly. In contrast, an individual who obstructs or impedes a court proceeding by bribing a witness to refuse to testify in that proceeding, or by engaging in other independently unlawful conduct with the intent to obstruct or impede an official proceeding, does act corruptly.

Defense Objections:

1. The defense objects to the line that an "official proceeding includes a 'proceeding before Congress." The defense proposes that the definition reads: "the term 'official proceeding' is a formal hearing before a tribunal.

2. The defense objects to the line "As used in Count Seven, the term 'official proceeding' means Congress' Joint Session to certify the Electoral College Vote. The defense does not agree with this statement, contends the government must prove this element beyond a reasonable doubt, and it is for the jury to determine whether the government has satisfied their burden as to that element.

- 4. The defense objects to the definition of corruptly. The definition should be as follows: To act "corruptly," the defendant must use an unlawful means or act with an unlawful purpose and had an intent to obstruct and to obtain an unlawful benefit for himself or an associate. *See United States v. Montgomery*, 578 F. Supp. 3d 54, 83 (D.D.C. 2021) ("The predominant view among the courts of appeals is that the 'corruptly' standard requires at least an 'improper purpose' and an 'intent to obstruct.').
- 5. The defense objects to the entire second to last paragraph. The language about "sole intent" is unnecessary and will serve to confuse the jury, as they must find beyond a reasonable doubt that Mr. Celentano's intent was to obstruct an official proceeding whether he had other purposes is irrelevant and this language waters down the government's burden.
- 6. The defense objects to the entire last paragraph the government has not cited any authority for this instruction, and it is unnecessary and will only serve to confuse the jury.

¹³ As applicable.

¹⁴ United States v. Gillespie, No. 22-cr-60 (BAH) (ECF 62 at 12).

Attempt¹⁵

In Count Seven, **RALPH CELENTANO** is alternatively charged with attempt to commit the crime of obstruction of an official proceeding.

In order to find the defendant guilty of attempt to commit obstruction of an official proceeding, you must find that the government proved beyond a reasonable doubt each of the following two elements:

1. The defendant intended to commit the crime of obstruction of an official proceeding, as I have defined that offense above; and

2. The defendant took a substantial step toward committing obstruction of an official proceeding.

With respect to the first element of attempt, you may not find the defendant guilty of attempt to commit obstruction of an official proceeding merely because he thought about it. You must find that the evidence proved beyond a reasonable doubt that the defendant's mental state passed beyond the stage of thinking about the crime to actually intending to commit it.

With respect to the "substantial step" element, you may not find the defendant guilty of attempt to commit obstruction of an official proceeding merely because he made some plans or some preparation for committing that crime. Instead, you must find that the defendant took some firm, clear, undeniable action to accomplish his intent to commit obstruction of an official proceeding. However, the substantial step element does not require the government to prove that the defendant did everything except the last step necessary to complete the crime.

Aiding and Abetting¹⁶

You may find **RALPH CELENTANO** guilty of the crime charged in Count Seven without finding that he personally committed each of the acts that make up the crime or that he was present while the crime was being committed. Any person who in some way intentionally participates in the commission of a crime or acts intending to facilitate the commission of a crime by another, can be found guilty either as an aider and abettor or as a principal offender. It makes no difference which label you attach. The person is as guilty of the crime as he would be if he had personally committed each of the acts that make up the crime.

To find that a defendant aided and abetted in committing a crime, you must find that the defendant knowingly associated himself with the commission of the crime, that he participated in the crime as something he wished to bring about, and that he intended by his actions to make it succeed.

¹⁵ United States v. Gillespie, No. 22-cr-60 (BAH) (ECF 62 at 12); United States v. Jensen, 21-cr-6 (TJK) (ECF 97 at 25-26); United States v. Herrera, No. 21-cr-619 (BAH) (ECF 65 at 7).

Some affirmative conduct by the defendant in planning or carrying out the crime is necessary. Mere physical presence by **RALPH CELENTANO** at the place and time the crime is committed is not by itself sufficient to establish his guilt. However, mere physical presence is enough if it is intended to help in the commission of the crime. It is not necessary that you find that **RALPH CELENTANO** was actually present while the crime was committed.

The government is not required to prove that anyone discussed or agreed upon a specific time or method of committing the crime. The government is not required to prove that the crime was committed in the particular way planned or agreed upon. Nor need the government prove that the principal offender and the person alleged to be the aider and abettor directly communicated with each other.

I have already instructed you on the elements of the offense with which **RALPH CELENTANO** is charged in Count Seven. With respect to the charge of Obstruction of an Official Proceeding, regardless of whether **RALPH CELENTANO** is an aider and abettor or a principal offender, the government must prove beyond a reasonable doubt that **RALPH CELENTANO** personally acted knowingly, corruptly, and with intent to obstruct or impede the official proceeding.

Defense Objection

1. The defense proposes the following revised charge.

Aiding and Abetting¹⁷

A person may be guilty of an offense because he personally committed the offense himself or because he aided and abetted another person in committing the offense. A person who has aided and abetted another person in committing an offense is often called an accomplice. The person whom the accomplice aids and abets is known as the principle.

In this case, the government alleges that Mr. Celentano aided and abetted others in committing obstruction of an official proceeding as charged in the indictment. In order to find Mr. Celentano guilty of obstruction of an official proceeding because he aided and abetted the commission of this offense, you must find the government proved beyond a reasonable doubt each of the following four (4) requirements:

First: That others committed the offense charged by committing each of the elements of the offense charged, as I have explained those elements to you in these instructions;

Second: That Mr. Celentano had advanced knowledge¹⁸ that the offense charged was going to be committed or was being committed by others;

¹⁷ 18 U.S.C. § 2(a); Third Circuit Model Jury Instructions 7.02

¹⁸ See Rosemond v. United States, 572 U.S. 65, 81-82 (2014) ("The District Court

Third: That Mr. Celentano knowingly did some act for the purpose of aiding, assisting, facilitating, or encouraging others in committing the specific offense charged and with the intent that others commit that specific offense; and

Fourth: That Mr. Celentano performed an act in furtherance of the offense charged.

In deciding whether Mr. Celentano had the required knowledge and intent to satisfy the third requirement for aiding and abetting, you may consider both direct and circumstantial evidence including Mr. Celentano's words and actions and the other facts and circumstances. However, evidence that Mr. Celentano merely associated with persons involved in a criminal venture or was merely present or was merely a knowing spectator during the commission of the offense is not enough for you to find him guilty as an aider and abettor. If the evidence shows that Mr. Celentano knew that the offense was being committed or was about to be committed, but does not also prove beyond a reasonable doubt that it was Mr. Celentano's intent and purpose to aid, assist, encourage, facilitate or otherwise associate himself with the offense, you may not find Mr. Celentano guilty of the offense as an aider and abettor. The government must prove beyond a reasonable doubt that Mr. Celentano in some way participated in the offense committed by others as something Mr. Celentano wished to bring about and to make succeed.

To show that Mr. Celentano performed an act in furtherance of the offense charged, to satisfy the fourth requirement, the government needs to show some affirmative participation by Mr. Celentano which at least encouraged others to commit the offense. That is, you must find that Mr. Celentano's acts did, in some way, aid assist, facilitate or encouraged others to commit the offense. Mr. Celentano's acts need not further aid, assist, facilitate, or encourage every part or phase of the offense charged; it is enough if Mr. Celentano's acts further aided, assisted, facilitated, or encouraged only one part or phase of the offense. Also, Mr. Celentano's acts need not themselves be against the law.

erred...because it did not explain that Rosemond needed advance knowledge of a firearm's presence.").

Defense Proposed Instruction: Self-Defense [If applicable]

Self-Defense

Every person has the right to use a reasonable amount of force in self-defense if (1) he has a reasonable belief that the use of force was necessary to defense himself or another against the immediate use of excessive force and (2) uses no more force than was reasonably necessary in the circumstances. A person who was the initial aggressor does not act in self-defense.

If you believe that Mr. Celentano actually and reasonably believed that another person was in imminent danger of serious bodily harm and that Mr. Celentano had reasonable grounds for that belief, then Mr. Celentano has a right to defense that other person even if Mr. Celentano also had other possible motives, such as feelings of anger toward Officer K.E. or a desire for revenge. A defendant's other possible motives do not defeat an otherwise valid claim of self-defense but can be considered in evaluating whether Mr. Celentano actually and reasonably believed that another person was in imminent danger of serious bodily harm.

Self-defense is a defense to the charges in Counts I, II, V, VI and VII. Mr. Celentano is not required to prove that he acted in defense of others. Where evidence of defense of others is present, the government must prove beyond a reasonable doubt that Mr. Celentano did not act in defense of others. If the government has failed to do so, you must find Mr. Celentano not guilty on these counts. *See United States v. Thomas Webster*, 21-cr-208 (APM), Final Jury Instructions, ECF No. 101 at 25 - 26.

Self-defense is not, however, a defense to the Counts III and IV.

<u>Self-Defense – Amount of Force Permissible</u>

A person may use a reasonable amount of force in defense of others. A person may use an amount of force which, at the time of the incident, he actually and reasonably believes is necessary to protect himself or the person of another from imminent bodily harm.

Even if the other person is the aggressor and Mr. Celentano is justified in using force in defense of another, he may no use any greater force than he actually and reasonably believes to be necessary under the circumstances to prevent the harm he reasonably believes is intended.

In deciding whether Mr. Celentano used excessive force in defending another person, you may consider all the circumstances under which he acted. A person acting in the heat of passion caused by an assault does not necessarily lose his claim of self-defense by using greater force than would seem necessary to a calm mind. In the heat of passion, a person may actually and reasonably believe something that seems unreasonable to a calm mind. *See United States v. Thomas Webster*, 21-cr-208 (APM), Final Jury Instructions, ECF No. 101 at 26.

Self-Defense – Amount of Force Permissible Where Appearances are False

If Mr. Celentano actually and reasonably believes it is necessary to use force to prevent imminent bodily harm to another, he may use a reasonable amount of force even though afterwards it turns out that the appearances were false. *See United States v. Thomas Webster*, 21-cr-208 (APM), Final Jury Instructions, ECF No. 101 at 26.

Self-Defense- Where Defendant Might Have Been the Aggressor

If you find that the person Mr. Celentano asserts he was protecting from imminent bodily harm was the initial aggressor, he cannot rely upon the right of self-defense to justify his use of force. Mere words, without more, however do not constitute aggression. *See United States v. Thomas Webster*, 21-cr-208 (APM), Final Jury Instructions, ECF No. 101 at 27.

Government objection

Considering the defense request for the above referenced self-defense instructions, the Government intends on filing a motion regarding the factual basis for a self-defense claim. If the Court finds that a self-defense instruction is appropriate, the Government will provide its proposed jury instructions.