UNITED STATES DISTRICT COURT FOR THE DISTRICT OF COLUMBIA

UNITED STATES OF AMERICA	:	Case No. 1:21-cr-00708-RCL-1
v.	:	
LEO CHRISTOPHER KELLY,	:	
Defendant.	:	

GOVERNMENT'S PROPOSED JURY INSTRUCTIONS

The United States of America, by and through its attorney, the United States Attorney for

the District of Columbia, hereby proposes the following preliminary and final jury instructions,

subject to issues that arise during trial.¹

I. Preliminary Instructions [in addition to the Court's standard preliminary

instructions]

1. Definitions: Stipulation of Fact, Redbook² 1.103(A) [see proposal below]

II. Final Instructions

- 1. Furnishing the Jury with a Copy of the Instructions, Redbook 2.100
- 2. Function of the Court, Redbook 2.101
- 3. Function of the Jury, Redbook 2.102
- 4. Jury's Recollection Controls, Redbook 2.103
- 5. Evidence in the Case, Redbook 2.104
- 6. Statements of Counsel, Redbook 2.105

¹ The parties have strived in good faith to reach accord on the jury instructions referenced herein. The parties reserve the right to amend or supplement the jury instructions prior to trial with reasonable notice to the opposing party and the Court based on continued ongoing discovery. ² The "Redbook" refers to Criminal Jury Instructions for the District of Columbia (Fifth Edition, 2021 Release).

- 7. Indictment Not Evidence, Redbook 2.106
- 8. Burden of Proof, Redbook 2.107
- 9. Reasonable Doubt, Redbook 2.108
- 10. Direct and Circumstantial Evidence, Redbook 2.109
- 11. Nature of Charges Not To Be Considered, Redbook 2.110
- 12. Number of Witnesses, Redbook 2.111
- 13. Inadmissible and Stricken Evidence, Redbook 2.112
- 14. Credibility of Witnesses, Redbook 2.200
- 15. Police Officer's Testimony, Redbook 2.207
- 16. Right of Defendant Not to Testify, Redbook 2.208 [if applicable after trial]
- 17. Count One, Obstruction of an Official Proceeding in violation of 18 U.S.C. § 1512(c)(2)[see proposal below]
 - a. Elements
 - b. "Official Proceeding"
 - c. "Corruptly"
 - d. Attempt
 - e. Aiding and Abetting

18. Count Two, Entering or Remaining in a Restricted Building or Grounds in violation of 18

U.S.C. § 1752(a)(1) [see proposal below]

- a. Elements
- b. "Restricted Building or Grounds"
- c. "Knowingly"

- 19. Count Three, Disorderly or Disruptive Conduct in a Restricted Building or Grounds in violation of 18 U.S.C. § 1752(a)(2) [see proposal below]
 - a. Elements
 - b. "Disorderly or Disruptive Conduct"
- 20. Count Four, Entering and Remaining on the Floor of Congress in violation of 40 U.S.C.
 - § 5104(e)(2)(A) [see proposal below]
 - a. Elements
 - b. "Willfully"
- 21. Count Five, Entering and Remaining in Certain Rooms in the Capitol Building in violation of 40 U.S.C. § 5104(e)(2)(C)
 - a. Elements
 - b. "United States Capitol Buildings"
 - c. "Orderly Conduct of a Session of Congress"
- 22. Count Six, Disorderly or Disruptive Conduct in a Capitol Building, in violation of 40

U.S.C. § 5104(e)(2)(D) [see proposal below]

- a. Elements
- 23. Count Seven, Parading, Demonstrating, or Picketing in a Capitol Building, in violation of

40 U.S.C. § 5104(e)(2)(G) [see proposal below]

- a. Elements
- 24. Other Crimes Evidence, Redbook 2.321(B) [see proposal below]
- 25. Proof of State of Mind, Redbook 3.101
- 26. Multiple Counts One Defendant, Redbook 2.402
- 27. Unanimity—General, Redbook 2.405

- 28. Verdict Form Explanation, Redbook 2.407
- 29. Redacted Documents and Tapes, Redbook 2.500
- 30. Exhibits During Deliberations, Redbook 2.501
- 31. Selection of Foreperson, Redbook 2.502
- 32. Possible Punishment Not Relevant, Redbook 2.505
- 33. Cautionary Instruction on Publicity, Communication, and Research, Redbook 2.508
- 34. Communication Between Court and Jury During Jury's Deliberations, Redbook 2.509
- 35. Excusing Alternate Jurors, Redbook 2.511
- 36. Limiting Instruction: Statements of Others (*United States v. Thompson*, Case No. 1:21-cr-00161-RBW, Docket Entry. 83 at 12 (D.D.C. Apr. 14, 2022))

STIPULATION OF FACT (Instruction 1.103(A))

The government and the defendant may stipulate-that is, agree-to certain facts. You should consider any stipulation of fact to be undisputed evidence.

LIMITING INSTRUCTION: STATEMENTS OF OTHERS

(United States v. Thompson, Case No. 1:21-cr-00161-RBW, Docket Entry. 83 at 12 (D.D.C. Apr. 14, 2022))

You have heard evidence regarding statements made by former President Donald Trump and Rudolph Guiliani on January 6, 2021. This evidence has been admitted for a limited purpose and that is its potential impact on the intent required to establish the defendant's guilty on the offenses he is charged with committing in this case, if you conclude that the defendant heard those statements. You are not to consider that evidence for any other purpose. Neither former President Donald Trump nor Rudolph Guiliani actually had the power to authorize or make legal the alleged crimes charged in this case. Again, the evidence regarding their statements may only be used in your assessment as to whether the defendant had the required intent to commit the crimes for which he has been charged.

OBSTRUCTION OF AN OFFICIAL PROCEEDING - ELEMENTS

(18 U.S.C. § 1512(c)(2); Seventh Circuit Pattern Criminal Jury Instructions)

Count One of the indictment charges Leo Kelly with corruptly obstructing an official proceeding, which is a violation of federal law.

In order to find the defendant guilty of this offense, you must find that the government proved each of the following two elements beyond a reasonable doubt:

First, the defendant attempted to or did obstruct or impede any official proceeding.

Second, the defendant acted with the intent to obstruct or impede the official proceeding.

Third, the defendant acted knowingly, with awareness that the natural and probable effect of his conduct would be to obstruct or impede the official proceeding.

Fourth, the defendant acted corruptly.

The government must prove beyond a reasonable doubt only that the defendant attempted to or did either obstruct or impede an official proceeding. The government does not need to prove that the defendant did both of those things. You must unanimously agree either that the defendant obstructed an official proceeding, or that he impeded an official proceeding, or that he did both.

If you find that the government has proved beyond a reasonable doubt all of the elements of this charge, as I have just instructed you, the defendant's motive in acting is not relevant.

OBSTRUCTION OF AN OFFICIAL PROCEEDING – "OFFICIAL PROCEEDING"

(18 U.S.C. § 1515(a)(1)(B); Seventh Circuit Pattern Criminal Jury Instructions)

The term "official proceeding" includes a proceeding before the Congress. As used in Count One, the term "official proceeding" means Congress's Joint Session to certify the Electoral College vote.

An official proceeding need not be pending or about to be instituted at the time of the offense. If the official proceeding was not pending or about to be instituted, the government must prove beyond a reasonable doubt that the official proceeding was reasonably foreseeable to the defendant and that the natural and probable effect of the defendant's conduct was to obstruct the official proceeding.

OBSTRUCTION OF AN OFFICIAL PROCEEDING – "CORRUPTLY"

(Seventh Circuit Pattern Criminal Jury Instructions; Arthur Andersen LLP v. United States, 544 U.S. 696, 706 (2005); United States v. Gordon, 710 F.3d 1124, 1151 (10th Cir. 2013); United States v. Friske, 640 F.3d 1288, 1291 (11th Cir. 2011); United States v. Watters, 717 F.3d 733, 735 (9th Cir. 2013); United States v. North, 910 F.2d 843, 883 (D.C. Cir. 1990) withdrawn and superseded in part by United States v. North, 920 F.2d 940 (D.C. Cir. 1990))

"Corruptly" means knowingly, with intent to obstruct or impede an official proceeding, and with consciousness of wrongdoing. To act corruptly, the defendant must use unlawful means or act with an unlawful purpose, or both. "Consciousness of wrongdoing" means an understanding or awareness that what the person is doing is wrong or unlawful.

Not all attempts to obstruct or impede an official proceeding involve acting corruptly. For example, a witness in a court proceeding may refuse to testify by invoking his constitutional privilege against self-incrimination, thereby obstructing or impeding the proceeding, but he does not act corruptly. In contrast, an individual who obstructs or impedes a court proceeding by bribing a witness to refuse to testify in that proceeding, or by engaging in other independently unlawful conduct, does act corruptly.

OBSTRUCTION OF AN OFFICIAL PROCEEDING – ATTEMPT

(Redbook 7.101; Seventh Circuit Pattern Criminal Jury Instructions; Third Circuit Pattern Jury Instructions 7.01)

In Count One, Leo Kelly is charged with attempt to commit the crime of obstructing an official proceeding. An attempt to commit obstruction of an official proceeding is a federal crime even though the defendant did not actually complete the crime of obstructing an official proceeding.

In order to find the defendant guilty of attempt to commit obstruction of an official proceeding, you must find that the government proved beyond a reasonable doubt each of the following two elements:

First, that the defendant intended to commit the crime of obstruction of an official proceeding, as I have defined that offense above.

Second, that the defendant took a substantial step toward committing obstruction of an official proceeding which strongly corroborates or confirms that the defendant intended to commit that crime.

With respect to the first element of attempt, you may not find the defendant guilty of attempt to commit obstruction of an official proceeding merely because he thought about it. You must find that the evidence proved beyond a reasonable doubt that the defendant's mental state passed beyond the stage of thinking about the crime to actually intending to commit it.

With respect to the substantial step element, you may not find the defendant guilty of attempt to commit obstruction of an official proceeding merely because he made some plans to or some preparation for committing that crime. Instead, you must find that the defendant took some firm, clear, undeniable action to accomplish his intent to commit obstruction of an official proceeding. However, the substantial step element does not require the government to prove that the defendant did everything except the last act necessary to complete the crime.

OBSTRUCTION OF AN OFFICIAL PROCEEDING – AIDING AND ABETTING

(Redbook 3.200; 18 U.S.C. § 2(a); Third Circuit Model Jury Instructions 7.02; United States v. Kegler, 724 F.2d 190, 201 (D.C. Cir. 1983))

You may find Leo Kelly guilty of the crime charged in the indictment without finding that he personally committed each of the acts that make up the crime or that he was present while the crime was being committed. Any person who in some way intentionally participates in the commission of a crime can be found guilty either as an aider and abettor or as a principal offender. It makes no difference which label you attach. The person is as guilty of the crime as he would be if he had personally committed each of the acts that make up the crime.

In this case, the government alleges that the defendant aided and abetted others in committing obstruction of an official proceeding as charged in Count One. "An individual may be indicted as a principal for commission of a substantive crime and convicted by proof showing him to be an aider or abettor. The indictment need not specifically charge a violation of 18 U.S.C. § 2."³ In order to find the defendant guilty of obstruction of an official proceeding because he aided and abetted others in committing this offense, you must find the that the government proved beyond a reasonable doubt the following four requirements:

First, others committed obstruction or attempted obstruction of an official proceeding by committing each of the elements of the offense charged, as I have explained those elements to you in these instructions.

Second, that the defendant knew that obstruction or attempted obstruction of an official proceeding was going to be committed or was being committed by others.

Third, that the defendant performed some act or acts in furtherance of the offense charged.

³ United States v. Kegler, 724 F.2d 190, 201 (D.C. Cir. 1983).

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Fourth, that the defendant knowingly performed the act or acts for purpose of aiding, assisting, soliciting, facilitating, or encouraging others in committing the specific offense charged and with the intent that others commit that specific offense.

Fifth, that the defendant did that act or those acts with the intent that others commit the offense of obstruction of an official proceeding.

To show that the defendant performed an act or acts in furtherance of the offense charged, the government needs to show some affirmative participation by the defendant which at least encouraged others to commit the offense. That is, you must find that the defendant's act or acts did, in some way, aid, assist, facilitate, or encourage others to commit the offense. The defendant's act or acts need not further aid, assist, facilitate, or encourage every part or phase of the offense charged; it is enough if the defendant's act or acts further aid, assist, facilitate, or encourage only one or some parts or phases of the offense. Also, the defendant's acts need not themselves be against the law.

In deciding whether the defendant had the required knowledge and intent to satisfy the fourth requirement for aiding and abetting, you may consider both direct and circumstantial evidence including the defendant's words and actions and the other facts and circumstances. However, evidence that the defendant merely associated with persons involved in a criminal venture during the commission of the offense is not enough for you to find the defendant guilty as an aider and abetter. Mere physical presence by the defendant at the place and time the crime is committed is not by itself sufficient to establish his guilt, unless his mere physical presence is intended to help in the commission of the crime. If the evidence shows that the defendant knew that the offense was being committed or was about to be committed, but does not also prove beyond a reasonable doubt that it was the defendant's intent and purpose to aid, assist, encourage, facilitate,

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or otherwise associate himself with the offense, you may not find the defendant guilty of the obstruction of an official proceeding as an aider and abettor. The government must prove beyond a reasonable doubt that the defendant in some way participated in the offense committed by others as something the defendant wished to bring about and to make succeed.

<u>ENTERING OR REMAINING IN A RESTRICTED BUILDING OR GROUNDS –</u> <u>ELEMENTS</u>

(18 U.S.C. § 1752; United States v. Jabr, 4 F.4th 97, 101 (D.C. Cir. 2021))

Count Two of the indictment charges Leo Kelly with entering or remaining in a restricted building or grounds, which is a violation of federal law.

In order to find the defendant guilty of this offense, you must find that the government proved each of the following elements beyond a reasonable doubt:

First, the defendant entered or remained in a restricted building or grounds without lawful authority to do so.

Second, the defendant did so knowingly.

The government must prove beyond a reasonable doubt only that the defendant either knowingly entered, or knowingly remained in, a restricted building or grounds without lawful authority, not that the defendant did both. You must unanimously agree on which of those things the defendant did.

<u>ENTERING OR REMAINING IN A RESTRICTED BUILDING OR GROUNDS –</u> <u>"RESTRICTED BUILDING OR GROUNDS"</u>

(18 U.S.C. § 1752(c); 18 U.S.C. § 3056)

The term "restricted building or grounds" means any posted, cordoned off, or otherwise

restricted area of a building or grounds where a person protected by the Secret Service is or will

be temporarily visiting.

The term "person protected by the Secret Service" includes the Vice President, and the

immediate family of the Vice President.

<u>ENTERING OR REMAINING IN A RESTRICTED BUILDING OR GROUNDS –</u> <u>"KNOWINGLY"</u>

(Third Circuit Model Jury Instruction, Criminal, 5.02 ("Knowingly"))

A person acts knowingly if he realizes what he is doing and is aware of the nature of his conduct, and does not act through ignorance, mistake, or accident. In deciding whether the defendant acted knowingly, you may consider all of the evidence, including what the defendant did or said.

DISORDERLY OR DISRUPTIVE CONDUCT IN A RESTRICTED BUILDING OR <u>GROUNDS – ELEMENTS</u> (18 U.S.C. § 1752)

Count Three of the indictment charges Leo Kelly with disorderly or disruptive conduct in a restricted building or grounds, which is a violation of federal law.

In order to find the defendant guilty of this offense, you must find that the government proved each of the following elements beyond a reasonable doubt:

First, the defendant engaged in disorderly or disruptive conduct.

Second, the defendant did so knowingly and with intent to impede or disrupt the orderly

conduct of Government business or official functions.

Third, the defendant's conduct was in a restricted building or grounds.

Fourth, the defendant's conduct in fact impeded or disrupted the orderly conduct of

Government business or official functions.

The government must prove beyond a reasonable doubt only that the defendant engaged in either disorderly or disruptive conduct, not that the defendant engaged in both. You must unanimously agree on which of those things the defendant did.

Similarly, to find the defendant guilty, you must unanimously agree that the defendant either intended to impede or intended to disrupt the orderly conduct of Government business or official functions. But the government does not need to prove that the defendant intended both of those things.

The term "restricted building or grounds" has the same meaning as that described for Count Two.

DISORDERLY OR DISRUPTIVE CONDUCT IN A RESTRICTED BUILDING OR GROUNDS – "DISORDERLY OR DISRUPTIVE CONDUCT"

(Adapted from Redbook 6.643)

The term "disorderly conduct" occurs when a person is unreasonably loud and disruptive

under the circumstances, or interferes with another person by jostling against or unnecessarily

crowding that person.

"Disruptive conduct" is a disturbance that interrupts an event, activity, or the normal

course of a process.

ENTERING AND REMAINING ON THE FLOOR OF CONGRESS - ELEMENTS (40 U.S.C. § 5104)

Count Four of the indictment charges Leo Kelly with entering and remaining on the floor of Congress.

In order to find the defendant guilty of entering and remaining on the floor of Congress, you must find that the government proved each of the following elements beyond a reasonable doubt:

First, that the defendant entered or remained on the floor of a House of Congress without authorization to do so.

Second, that the defendant acted willfully and knowingly.

The term "knowingly" has the same meaning as that described for Count Two.

ENTERING AND REMAINING ON THE FLOOR OF CONGRESS <u>– "WILLFULLY"</u>

(Third Circuit Model Jury Instruction, Criminal, 5.05 ("Willfully"))

A defendant acts "willfully" if he knew his conduct was unlawful and intended to do something that the law forbids. That is, to find that defendant acted "willfully," you must find that the evidence proved beyond a reasonable doubt that the defendant acted with a purpose to disobey or disregard the law. "Willfully" does not, however, require proof that the defendant had any evil motive or bad purpose other than the purpose to disobey or disregard the law.

ENTERING AND REMAINING IN CERTAIN ROOMS IN THE CAPITOL BUILDING -<u>ELEMENTS</u> (40 U.S.C. § 5104)

Count Five of the indictment charges Leo Kelly with entering and remaining in certain rooms in the Capitol building.

In order to find the defendant guilty of entering and remaining in certain rooms in the Capitol building, you must find that the government proved each of the following elements beyond a reasonable doubt:

First, that the defendant entered or remained in any room in any of the United States Capitol buildings set aside or designated for the use of either House of Congress or a Member, committee, officer, or employee of Congress.

Second, that the defendant did so with the intent to disrupt the orderly conduct of official business.

Third, that the defendant acted willfully and knowingly.

The term "knowingly" has the same meaning as that described for Count Two.

The term "willfully" has the same meaning as that described for Count Four.

The term "disorderly or disruptive conduct" has the same meaning described in the instructions for Count Three defining "disorderly conduct" and "disruptive conduct."

ENTERING AND REMAINING ON THE FLOOR OF CONGRESS <u>– "UNITED STATES CAPITOL BUILDINGS"</u> (40 U.S.C. § 5101)

The term "United States Capitol buildings" includes the United States Capitol located at

First Street, Southeast, in Washington, D.C.

ENTERING AND REMAINING ON THE FLOOR OF CONGRESS <u>– "OFFICIAL BUSINESS"</u> (40 U.S.C. § 5101)

The term "official business" includes all matters that directly or indirectly pertain to the legislative process, all congressional representative functions generally, and all actions taken as part of the functioning, working, or operating of Congress.

DISORDERLY OR DISRUPTIVE CONDUCT IN A CAPITOL BUILDING – <u>ELEMENTS</u> (40 U.S.C. § 5104)

Count Six of the indictment charges Leo Kelly with disorderly or disruptive conduct in a capitol building, which is a violation of federal law.

In order to find the defendant guilty of this offense, you must find that the government proved each of the following four elements beyond a reasonable doubt:

First, the defendant was inside the United States Capitol Building.

Second, the defendant uttered loud, threatening, or abusive language, or engaged in disorderly or disruptive conduct.

Third, the defendant acted with the intent to impede, disrupt, or disturb the orderly conduct of a session of Congress or either House of Congress.

Fourth, the defendant acted willfully and knowingly.

The government must prove beyond a reasonable doubt only that the defendant did one of the following: uttered loud language, uttered threatening language, uttered abusive language, engaged in disorderly conduct, or engaged in disruptive conduct. You must unanimously agree on which of those things the defendant did.

Similarly, to find the defendant guilty, you must unanimously agree either that the defendant intended to impede, or intended to disrupt, or intended to disturb, the orderly conduct of a session of Congress or either House of Congress. But the government does not need to prove that the defendant intended all of those things.

The government is not required to prove that the defendant actually impeded, disrupted, or disturbed the orderly conduct of a session.

The term "knowingly" has the same meaning as that described for Count Two.

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The term "willfully" has the same meaning as that described for Count Four.

The term "disorderly or disruptive conduct" has the same meaning described in the instructions for Count Three defining "disorderly conduct" and "disruptive conduct."

DISORDERLY OR DISRUPTIVE CONDUCT IN A CAPITOL BUILDING – <u>"ORDERLY CONDUCT OF A SESSION OF CONGRESS"</u>

I instruct you that, for purposes of Count Six, "the orderly conduct of a session of Congress or either House of Congress" includes all the actions of the Joint Session of Congress convened on January 6, 2021, to certify the Electoral College Presidential Election of 2020.

PARADING, DEMONSTRATING, OR PICKETING IN A CAPITOL BUILDING – ELEMENTS

(40 U.S.C. § 5104; *Bynum v. United States Capitol Police Board*, 93 F. Supp. 2d 50, 58 (D.D.C. 2000))

Count Seven of the indictment charges Leo Kelly with parading, demonstrating, or picketing in a capitol building, which is a violation of federal law.

In order to find the defendant guilty of this offense, you must find that the government

proved each of the following three elements beyond a reasonable doubt:

First, the defendant was inside the United States Capitol Building.

Second, the defendant paraded, demonstrated, or picketed.

Third, the defendant acted willfully and knowingly.

The terms "parade" and "picket" have their ordinary meanings.

The term "United States Capitol buildings" has the same meaning described in the

instructions for Count Five.

The government must prove beyond a reasonable doubt only that the defendant either paraded, demonstrated, or picketed. You must unanimously agree on which of those things the defendant did.

The term "knowingly" has the same meaning as that described for Count Two.

The term "willfully" has the same meaning as that described for Count Four.

<u>PARADING, DEMONSTRATING, OR PICKETING IN A CAPITOL BUILDING –</u> <u>"DEMONSTRATE"</u>

The term "demonstrate" refers to conduct that would disrupt the orderly business of Congress by, for example, impeding or obstructing passageways, hearings, or meetings, but does not include activities such as quiet praying.

FOR THE DEFENDANT

LEO KELLY Defendant

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